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<b>(54) Title:</b> METHODS AND COMPOSITIONS FOR ADMINISTERING GENE THERAPY VECTORS			
<b>(57) Abstract</b>  A method of reducing immune response during gene therapy is provided which involves co-administration of the viral vector bearing a therapeutic transgene and a selected immune modulator capable of inhibiting the formation of neutralizing antibodies and/or CTL elimination of the vectors upon repeated administration.			

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## METHODS AND COMPOSITIONS FOR ADMINISTERING GENE THERAPY VECTORS

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5 02. The United States government has certain rights in  
this invention.

### Field of the Invention

The present invention relates generally to gene  
therapy, and more specifically, to methods of  
10 administering viral vectors used in gene therapy.

### Background of the Invention

Recombinant adenoviruses have emerged as  
attractive vehicles for in vivo gene transfer to a wide  
variety of cell types. The first generation vectors,  
15 which are rendered replication defective by deletion of  
the immediate early genes E1a and E1b, are capable of  
highly efficient in vivo gene transfer into nondividing  
target cells [M. Kay et al, Proc. Natl. Acad. Sci. USA,  
91:2353-2357 (1994); S. Ishibashi et al, J. Clin.  
20 Invest., 92:883-893 (1993); B. Quantin et al, Proc. Natl.  
Acad. Sci. USA, 89:2581-2584 (1992); M. Rosenfeld et al,  
Cell, 68:143 (1992); R. Simon et al, Hum. Gene Thera.,  
4:771 (1993); Rosenfeld et al, Science, 252:431-434  
(1991); Stratford-Perricaudet et al, Hum. Gene Ther.,  
25 1:241-256 (1990)].

Immune responses of the recipient to the viral  
vector, the transgene carried by the vector, and the  
virus-infected cells have emerged as recurring problems  
in the initial application of this technology to animals  
30 and humans [Yang et al, J. Virol., 69:2004-2015 (1995)  
(Yang I)]. In virtually all models, including lung-  
directed and liver-directed gene therapy, expression of  
the transgene is transient and associated with the  
development of pathology at the site of gene transfer.

The transient nature of transgene expression from recombinant adenoviruses is due, in part, to the development of antigen specific cellular immune responses to the virus-infected cells and their subsequent elimination by the host. Specifically, first generation vectors, although deleted in the E1a region of the vector, express viral proteins in addition to the transgene. These viral proteins activate cytotoxic T lymphocytes (CTL) [Y. Dai et al, Proc. Natl. Acad. Sci. USA, 92: 1401-1405 (1995); Y. Yang et al, Proc. Natl. Acad. Sci. USA, 91:4407-4411 (1994) (Yang II); and Y. Yang et al, Immunity, 1:433-442 (1994) (Yang III)]. The collaboration of CTLs directed against newly synthesized viral proteins and viral specific T helper cells [Zabner et al, Cell, 75:207-216 (1993); Crystal et al, Nat. Genet., 8:42-51 (1994)] leads to the destruction of the virus-infected cells.

Another antigenic target for immune mediated clearance of virally-infected cells can be the product of the transgene when that transgene expresses a protein that is foreign to the treated host. CTLs are thus an important effector in the destruction of target cells, with activation occurring in some cases in the context of the transgene product, or of the viral-synthesized proteins, both of which are presented by MHC class I molecules [Yang I; and Zsengeller et al, Hum. Gene Thera., 6:457-467 (1995)]. These immune responses have also been noted to cause the occurrence of associated hepatitis that develops in recipients of in vivo liver directed gene therapy within 2-3 weeks of initial treatment.

Another limitation of recombinant adenoviruses for gene therapy has been the difficulty in obtaining detectable gene transfer upon a second administration of virus. This limitation is particularly problematic in

the treatment of single gene inherited disorders or chronic diseases, such as cystic fibrosis (CF), that will require repeated therapies to obtain life-long genetic reconstitution. Diminished gene transfer following a second therapy has been demonstrated in a wide variety of animal models following intravenous or intratracheal delivery of virus [T. Smith et al, Gene Thera., 5:397 (1993); S. Yei et al, Gene Thera., 1:192-200 (1994); K. Kozarsky et al, J. Biol. Chem., 269:13695 (1994)]. In each case, resistance to repeated gene therapy was associated with the development of neutralizing anti-adenovirus antibody, which thwarted successful gene transfer following a second administration of virus.

Potential solutions for these problems have been directed towards the development of second generation recombinant viruses [Y. Yang et al, Nat. Genet., 7:362-369 (1994) (Yang IV); and J. Engelhardt et al, Hum. Gene Thera., 5:1217 (1994)] designed to diminish the expression of newly synthesized viral proteins, and the use of non-immunogenic transgenes, to prevent CTL activation.

Thus, there remains a need in the art for a method and composition for improving the efficiency of gene transfer during repeated administrations of viral gene therapy.

#### Summary of the Invention

The present invention provides a method of gene therapy and compositions for use therein which result in a reduced immune response to the recombinant viral vector used to accomplish the therapy. The method involves co-administering with the gene therapy viral vector a select d immune modulator, which substantially reduces the occurrence of neutralizing antibody responses directed against the vector encoded antigens and/or

cytolytic T cell elimination of the viral protein containing cells. This method is particularly useful where readministration of the recombinant virus is desired. According to this method the immune modulator  
5 may be administered prior to or concurrently with the recombinant viral vector bearing the transgene to be delivered.

Other aspects and advantages of the present invention are described further in the following detailed  
10 description of the preferred embodiments thereof.

#### Brief Description of the Drawings

Fig. 1A is a graph summarizing neutralizing antibody titer present in bronchoalveolar lavage fluid (BAL) samples of C57BL-6 mice adenovirus-infected on day  
15 0 and necropsied on day 28 as described in Example 2. Control represents normal mice ("control"); CD4 mAB represents CD4<sup>+</sup> cell-depleted mice; IL-12 represents mice treated with IL-12 on days 0 and +1 and IFN- $\gamma$  represent mice treated with IFN- $\gamma$  on days 0 and +1. Data are  
20 presented as the mean  $\pm$  1 standard deviation for three independent experiments.

Fig. 1B is a graph summarizing the relative amounts (OD<sub>405</sub>) of IgG present in BAL samples. The symbols are as described in Fig. 1A.

25 Fig. 1C is a graph summarizing the relative amounts (OD<sub>405</sub>) of IgA present in BAL samples. The symbols are as described in Fig. 1A.

Fig. 2 is a graph summarizing neutralizing antibody titer, expressed as reciprocal dilution of serum  
30 samples for the animals of Example 4. The symbols representing the mice are described as follows: C57BL-6 mice infected with H5.010CMVlacZ on day 0 and with H5.010CBALP at day 28 ("B6 mice"); C57BL-6 mic infected with UV-inactivated H5.010CMVlacZ on day 0 and with

H5.010CBALP at day 28 ("B6-UV mice"); MHC class II-deficient mice infected with H5.010CMVlacZ at day 0 and with H5.010CBALP on day 28 ("class II<sup>-</sup> mice"); B2 microglobulin deficient mice infected with H5.010CMVlacZ at day 0 and with H5.010CBALP at day 28 ("B2m<sup>-</sup> mice"); and C57BL/6 mice treated with GK1.5 (anti-CD4) mAb and infected with H5.010CMVlacZ at day 0 and with H5.010CBALP at day 28 ("CD4Ab mice").

Fig. 3A is a graph summarizing neutralizing antibody titer, expressed as reciprocal dilution of serum samples for C57BL/6 mice infused into the tail vein on day 0 with H5.010CMVLDLR and on day 21 with H5.010CMVLacZ, and on day 42 with H5.010CBALP, and administered with saline on days -3, 0 and 3. The titer is reported as a function of days post-infection.

Fig. 3B is a graph similar to that of Fig. 3A for C57BL/6 mice infused into the tail vein on day 0 with H5.010CMVLDLR and on day 21 with H5.010CMVLacZ, and on day 42 with H5.010CBALP, and administered with anti-CD4 mAb GK1.5 on days -3, 0 and 3.

Fig. 3C is a graph similar to that of Fig. 3A for C57BL/6 mice infused into the tail vein on day 0 with H5.010CMVLDLR and on day 21 with H5.010CMVLacZ, and on day 42 with H5.010CBALP, and administered with anti-CD4 mAb GK1.5 on days -3, 0, 3, 18, 21 and 24.

Fig. 3D is a graph similar to that of Fig. 3A for C57BL/6 mice infused into the tail vein on day 21 with H5.010CMVLacZ, and on day 42 with H5.010CBALP, and administered with anti-CD4 mAb GK1.5 on days -3, 0, and 3.

Fig. 4A is a graph summarizing the relative amounts (OD<sub>405</sub>) of IgG1 present in serum samples as a function of sample dilutions, as described in Example 6.

Fig. 4B is a graph summarizing the relative amounts (OD<sub>405</sub>) of IgG2a present in the serum samples as a function of sample dilutions as described in Example 6.

Fig. 5 is a graph illustrating percentage of specific lysis on mock-infected ("mock") and H5.010CBALP ("ALP")-infected C57SV cells as a function of effector to target ratios for a <sup>51</sup>Cr release assay of Example 6B. Splenocytes from C57BL/6 mice ("B6") and IL-12 treated C57BL/6 ("B6+IL12") mice 10 days after administration of H5.010CBALP, were restimulated *in vitro* with H5.010CMVlacZ for 5 days.

Fig. 6A is a bar chart providing the neutralizing antibodies to Ad5 obtained in the BAL (lung experiment) of Example 7. The results in column 1 are from C57BL/6 mice (control), column 2 results are from CD40L-deficient knockout mice (CD40L-KO), and column 3 results are from C57BL/6 mice treated with CD40L antibody (CD40L Ab). Data are presented as the mean neutralizing antibody titer of three samples +/- 1 S.D.

Fig. 6B is a bar chart as described in Fig. 6A, with data obtained from serum for the liver experiment of Example 7.

Fig. 7A is a line graph comparing the percentage of specific lysis in lymphocytes harvested from control C57BL/6 mice (open circles) and C57BL/6 mice treated with antibody to CD40L (filled circles). Seven days after virus administration, the lymphocytes were restimulated *in vitro* for 5 days and tested for specific lysis on mock-infected C57SV cells in a 6 hour <sup>51</sup>Cr release assay. Percentage of specific lysis is expressed as a function of different effector to target ratios (6:1, 12:1, 25:1, and 50:1). Splenocytes were used for this lung experiment.



Fig. 7B is a line graph as described in Fig. 7A, using virus-infected C57SV cells.

Fig. 7C is a line graph as described in Fig. 7A, using mock-infected cells. Mediastinal lymph node  
5 (MLN) cells were used for these liver experiments.

Fig. 7D is a line graph as described in Fig. 7A, using virus-infected cells. MLN cells were used for these liver experiments.

#### Detailed Description of the Invention

10 The present invention provides methods and compositions for improving an animal or human's ability to tolerate administration of gene therapy viral vectors. The invention provides methods to transiently prevent activation of CD4<sup>+</sup> T cells which are involved in both  
15 cellular and humoral immune barriers to gene therapy. The methods involve administering to an individual receiving a gene therapy vector a suitable amount of a preferably short-acting immune modulator. The immune modulator is preferably administered concurrently with  
20 administration of the gene therapy vector, i.e., a recombinant virus, used to deliver a therapeutic transgene desired for gene therapy. The immune modulator may also be administered before or after administration of the vector.

25 The method of this invention, which prevents the development of adverse cellular and humoral immune responses to gene therapy viral vectors, is based on immune suppression to block activation of T helper cells, specifically CD4 function, and B cells. In contrast to  
30 the prior art, which involved chronic immune suppression by continuous administration of non-specific immune suppressing drugs, the present invention uses a transient approach to immunosuppression. Without wishing to be bound by theory, the inventors theorize that the primary

stimulus for immune activation is viral capsid proteins from the recombinant vector. Chronic immune suppression is not necessary in such a scenario. Specifically, transient ablation of CD4 function at or near the time of recombinant virus administration according to this invention prevents the formation of neutralizing antibody, thereby allowing efficient gene transfer following at least two subsequent administrations of gene therapy viral vectors.

As illustrated below, administration of immune modulators according to the method of this invention is preferably conducted only at the time of virus administration. However, more prolonged immune modulation than is necessary in the following examples of gene transfer to mouse liver and/or lung may be needed, depending upon the manner in which the antigens are presented in different gene therapy protocols. Thus, the method of transient immune modulation of the invention may, in certain circumstances, be combined with long term immuno-suppression or other immunomodulatory therapies.

#### **I. Immune Modulators**

The selected immune modulator is defined herein as an agent capable of inhibiting the formation by activated B cells of neutralizing antibodies directed against the recombinant viral vector and/or capable of inhibiting cytolytic T lymphocyte (CTL) elimination of the vector. The immune modulator may be selected to interfere with the interactions between the T helper subsets ( $T_{H1}$  or  $T_{H2}$ ) and B cells to inhibit neutralizing antibody formation. Alternatively, the immune modulator may be selected to inhibit the interaction between  $T_{H1}$  cells and CTLs to reduce the occurrence of CTL

elimination of the vector. More specifically, the immune modulator desirably interferes with or blocks the function of the CD4 T cells.

Immune modulators for use in inhibiting  
5 neutralizing antibody formation according to this invention may be selected based on the determination of the immunoglobulin subtype of any neutralizing antibody produced in response to the viral vector. The  
10 neutralizing antibody that develops in response to administration of a gene therapy viral vector is frequently based on the identity of the virus, the identity of the transgene, what vehicle is being used to deliver the vector and/or the target location or tissue type for viral vector delivery.

15 For example,  $T_{H2}$  cells are generally responsible for interfering with the efficient transfer of genes administered during gene therapy. This is particularly true when the viral vector is adenovirus-based. More particularly, the inventors have determined  
20 that neutralizing antibodies of the subtypes, IgG<sub>1</sub> and IgA, which are dependent upon the interaction between  $T_{H2}$  cells and B cells, appear to be the primary cause of major neutralizing antibodies against adenoviral vectors.

The identity of the neutralizing antibody  
25 induced by administering a specific gene therapy recombinant viral vector is readily determined in animal trials. See, e.g., Example 6. For example, administration of adenoviral vectors via the lungs generally induces production of IgA neutralizing  
30 antibody, while administration of adenoviral vectors via the blood generally induces IgG<sub>1</sub> neutralizing antibody. In these cases, a  $T_{H2}$ -dependent immune response interferes with transfer of the adenovirus-based viral vector carrying a therapeutic transgene.

Where the neutralizing antibody induced by viral vector administration is a  $T_{H2}$  mediated antibody, such as IgA or IgG<sub>1</sub>, the immune modulator selected for use in this method desirably suppresses or prevents the interaction of  $T_{H2}$  cells with B cells. Alternatively, if the induced neutralizing antibody is found to be a  $T_{H1}$  mediated antibody, such as IgG<sub>2A</sub>, the immune modulator desirably suppresses or prevents the interaction of  $T_{H1}$  cells with B cells. Where the reduction of CTL elimination of the viral vectors is desired as well as the blocking of neutralizing antibody formation, the immune modulator is selected for its ability to suppress or block CD4<sup>+</sup>  $T_{H1}$  cells to permit prolonged residence of the viral vector in vitro.

The immune modulators may comprise soluble or naturally occurring proteins, including cytokines and monoclonal antibodies. The immune modulators may comprise other pharmaceuticals. In addition, the immune modulators according to the invention may be used alone or in combination with one another. For example, cyclophosphamide and the more specific immune modulator anti-CD4 monoclonal antibody may be co-administered. In such a case, cyclophosphamide serves as an agent to block  $T_{H1}$  activation and to stabilize transgene expression beyond the period of transient immune blockade induced by anti-CD4 MAb treatment.

A suitable amount or dosage of the selected immune modulator will depend primarily on the identity of the modulator, the amount of the recombinant vector bearing the transgene that is initially administered to the patient, and the method and/or site of delivery of the vector. These factors can be evaluated empirically by one of skill in the art using the procedures described herein. Other secondary factors such as the condition being treated, the age, weight, general health, and

immune status of the patient, may also be considered by a physician in determining the dosage of immune modulator to be delivered to the patient in conjunction with a gene therapy vector according to this invention.

5           Generally, for example, a therapeutically effective human dosage of a protein immune modulator, e.g., IL-12 or IFN- $\gamma$ , is administered in the range of from about 0.5  $\mu$ g to about 5 mg per about  $1 \times 10^7$  pfu/ml virus vector. Various dosages may be determined by one  
10 of skill in the art to balance the therapeutic benefit against any adverse side effects.

**A. Monoclonal Antibodies and Soluble Proteins**

Preferably, the method of inhibiting an adverse immune response to the gene therapy vector  
15 involves non-specific inactivation of CD4<sup>+</sup> cells. One such method comprises administering an appropriate monoclonal antibody. Preferably, such blocking antibodies are "humanized" to prevent the recipient from mounting an immune response to the blocking antibody. A  
20 "humanized antibody" refers to an antibody having its complementarily determining regions (CDRs) and/or other portions of its light and/or heavy variable domain framework regions derived from a non-human donor immunoglobulin, the remaining immunoglobulin-derived  
25 parts of the molecule being derived from one or more human immunoglobulins. Such antibodies can also include antibodies characterized by a humanized heavy chain associated with a donor or acceptor unmodified light chain or a chimeric light chain, or vice versa. Such  
30 "humanization" may be accomplished by methods known to the art. See, for example, G.E. Mark and E. A. Padlan, "Chap. 4. Humanization of Monoclonal Antibodies", The Handbook of Experimental Pharmacology, vol. 113, Springer-Verlag, New York (1994), pp. 105-133, which is  
35 incorporated by reference herein.

Other suitable antibodies include those that specifically inhibit or deplete CD4<sup>+</sup> cells, such as an antibody directed against cell surface CD4. Depletion of CD4<sup>+</sup> cells has been shown by the inventors to inhibit the CTL elimination of the viral vector. Such modulatory agents include but are not limited to anti-T cell antibodies, such as anti-OKT3<sup>+</sup> [see, e.g., US Patent No. 4,658,019; European Patent Application No. 501,233, published September 2, 1992]. See Example 2 below, which employs the commercially available antibody GK1.5 (ATCC Accession No. TIB207) to deplete CD4<sup>+</sup> cells.

Alternatively, any agent that interferes with or blocks the interactions necessary for the activation of B cells by T<sub>H</sub> cells, and thus the production of neutralizing antibodies, is useful as an immune modulator according to the methods of this invention. For example, B cell activation by T cells requires certain interactions to occur [F. H. Durie et al, Immunol. Today, 15(9):406-410 (1994)], such as the binding of CD40 ligand on the T helper cell to the CD40 antigen on the B cell, and the binding of the CD28 and/or CTLA4 ligands on the T cell to the B7 antigen on the B cell. Without both interactions, the B cell cannot be activated to induce production of the neutralizing antibody.

The CD40 ligand (CD40L)-CD40 interaction is a desirable point to block the immune response to gene therapy vectors because of its broad activity in both T helper cell activation and function as well as the absence of redundancy in its signaling pathway. A currently preferred method of the present invention thus involves transiently blocking the interaction of CD40L with CD40 at the time of adenoviral vector administration. This can be accomplished by treating with an agent which blocks the CD40 ligand on the T<sub>H</sub> cell

and interferes with the normal binding of CD40 ligand on the T helper cell with the CD40 antigen on the B cell. Blocking CD40L-CD40 interaction prevents the activation of the T helper cells that contributes to problems with transgene stability and readministration.

Thus, an antibody to CD40 ligand (anti-CD40L) [available from Bristol-Myers Squibb Co; see, e.g., European patent application 555,880, published August 18, 1993] or a soluble CD40 molecule can be a selected immune modulator in the method of this invention.

Alternatively, an agent which blocks the CD28 and/or CTLA4 ligands present on T helper cells interferes with the normal binding of those ligands with the antigen B7 on the B cell. Thus, a soluble form of B7 or an antibody to CD28 or CTLA4, e.g., CTLA4-Ig [available from Bristol-Myers Squibb Co; see, e.g., European patent application 606,217, published July 20, 1994] can be the selected immune modulator in the method of this invention. This method has greater advantages than the below-described cytokine administration to prevent  $T_{H2}$  activation, because it addresses both cellular and humoral immune responses to foreign antigens.

#### B. Cytokines

Still other immune modulators which inhibit the  $T_H$  cell function may be employed in the methods of this invention.

Thus, in one embodiment, an immune modulator for use in this method which selectively inhibits the function of the  $T_{H1}$  subset of  $CD4^+$  T helper cells may be administered at the time of primary administration of the viral vector. One such immune modulator is interleukin-4 (IL-4). IL-4 enhances antigen specific activity of  $T_{H2}$  cells at the expense of the  $T_{H1}$

cell function [see, e.g., Yokota et al, Proc. Natl. Acad. Sci., USA, 83:5894-5898 (1986); United States Patent No. 5,017,691]. It is envisioned that other immune modulators that can inhibit  $T_{H1}$  cell function will also  
5 be useful in the methods of this invention.

In another embodiment, the immune modulator can be a cytokine that prevents the activation of the  $T_{H2}$  subset of T helper cells. The success of this method depends on the relative contribution that  $T_{H2}$   
10 dependent Ig isotypes play in virus neutralization, the profile of which may be affected by strain, the species of animal as well as the mode of virus delivery and target organ.

A desirable immune modulator for use in  
15 this method which selectively inhibits the  $CD4^+$  T cell subset  $T_{H2}$  function at the time of primary administration of the viral vector includes interleukin-12 (IL-12). IL-12 enhances antigen specific activity of  $T_{H1}$  cells at the expense of  $T_{H2}$  cell function [see, e.g., European Patent  
20 Application No. 441,900; P. Scott, Science, 260:496-497 (1993); R. Manetti et al, J. Exp. Med., 177:1199 (1993); A. D'Andrea et al, J. Exp. Med., 176:1387 (1992)]. IL-12 for use in this method is preferably in protein form. Human IL-12 may be recombinantly produced using known  
25 techniques or may be obtained commercially. Alternatively, it may be engineered into a viral vector (which optionally may be the same as that used to express the transgene) and expressed in a target cell *in vivo* or *ex vivo*.

30  $T_{H2}$  specific ablation with IL-12 is particularly effective in lung-directed gene therapies where IgA is the primary source of neutralizing antibody. In liver-direct d g ne therapy, both  $T_{H1}$  and  $T_{H2}$  cells



contribute to the production of virus specific antibodies. However, the total amount of neutralizing antibody can be diminished with IL-12.

Another selected immune modulator which  
5 performs a similar function is gamma interferon (IFN- $\gamma$ )  
[S. C. Morris et al, J. Immunol., 152:1047-1056 (1994);  
F. P. Heinzel et al, J. Exp. Med., 177:1505 (1993)].  
IFN- $\gamma$  is believed to mediate many of the biological  
effects of IL-12 via secretion of activated macrophages  
10 and T helper cells. IFN- $\gamma$  also partially inhibits IL-4  
stimulated activation of T<sub>H2</sub>. IFN- $\gamma$  may also be obtained  
from a variety of commercial sources.

Alternatively, it may be engineered into a  
viral vector and expressed in a target cell in vivo or ex  
15 vivo using known genetic engineering techniques.

Preferably, such cytokine immune  
modulators are in the form of human recombinant proteins.  
These proteins may be produced by methods extant in the  
art. Active peptides, fragments, subunits or analogs of  
20 the known immune modulators described herein, such as IL-  
12 or gamma interferon, which share the T<sub>H2</sub> inhibitory  
function of these proteins, will also be useful in this  
method when the neutralizing antibodies are T<sub>H2</sub> mediated.

As illustrated in the examples below, the  
25 cytokines IL-12 (which activates T<sub>H1</sub> cells to secrete  
IFN- $\gamma$ ) and IFN- $\gamma$  are shown to ablate humoral immunity  
only (i.e., they inhibit T<sub>H2</sub> differentiation). Co-  
administration of either cytokine at the time of virus  
instillation prevented formation of IgA and allowed  
30 efficient re-administration of virus.

To permit an effective second  
administration of virus in liver-directed gene therapy,  
the method may preferably comprise administration of more  
than one cytokine, specific dosing regimens and/or co-  
35 administration of an additional immune modulator, such as

one or more of the antibodies discussed above. The use of cytokines is advantageous because cytokines are natural products, and thus not likely to generate any adverse immune responses in the patient to which they are administered.

#### C. Other Pharmaceuticals

Other immune modulators or agents that non-specifically inhibit immune function, i.e., cyclosporin A or cyclophosphamide, may also be used in the methods of the invention. For example, a short course of cyclophosphamide has been demonstrated to successfully interrupt both CD4 and CD8 T helper cell activation to adenovirus capsid protein at the time of virus delivery to the liver. As a result, transgene expression was prolonged and, at higher doses, formation of neutralizing antibody was prevented, allowing successful vector readministration. In the lung, cyclophosphamide prevented formation of neutralizing antibodies at all doses and stabilized transgene expression at high dose.

## II. Viral Vectors

Suitable viral vectors useful in gene therapy are well known, including retroviruses, vaccinia viruses, poxviruses, adenoviruses and adeno-associated viruses, among others. The method of this invention is anticipated to be useful with any virus which forms the basis of a gene therapy vector. However, exemplary viral vectors for use in the methods of the invention are adenovirus vectors [see, e.g., M. S. Horwitz et al, "Adenoviridae and Their Replication", Virology, second edition, pp. 1712, ed. B. N. Fields et al, Raven Press Ltd., N w York (1990); M. Rosenfeld et al, Cell, 68:143-155 (1992); J. F. Engelhardt et al, Human Genet. Ther.,

4:759-769 (1993); Yang IV; J. Wilson, Nature, 365:691-692 (Oct. 1993); B. J. Carter, in "Handbook of Parvoviruses", ed. P. Tijsser, CRC Press, pp. 155-168 (1990).

Particularly desirable are human type C  
5 adenoviruses (Ad), including serotypes Ad2 and Ad5, which have been rendered replication defective for gene therapy by deleting the early gene locus that encodes E1a and E1b. There has been much published on the use of E1  
10 deleted adenoviruses in gene therapy. See, K.F. Kozarsky and J. M. Wilson, Curr. Opin. Genet. Dev., 3:499-503 (1993). The DNA sequences of a number of adenovirus types are available from Genbank, including type Ad5 [Genbank Accession No. M73260]. The adenovirus sequences may be obtained from any known adenovirus type, including  
15 the presently identified 41 human types [Horwitz et al, Virology, 2d ed., B. N. Fields, Raven Press, Ltd., New York (1990)]. A variety of adenovirus strains are available from the American Type Culture Collection, Rockville, Maryland, or available by request from a  
20 variety of commercial and institutional sources. In the following embodiment, an adenovirus type 5 (Ad5) is used for convenience.

The selection of the virus useful for engineering the recombinant vectors, including the viral  
25 type, e.g., adenovirus, and strain is not anticipated to limit the following invention.

Similarly, selection of the transgene contained within the viral vector is not a limitation of this invention. This method is anticipated to be useful with  
30 any transgene. Suitable transgenes for delivery to a patient in a viral vector for gene therapy may be selected by those of skill in the art. These therapeutic nucleic acid sequences typically encode products for administration and expression in a patient in vivo or in vivo to replace or correct an inherited or non-inherited

genetic defect or treat an epigenetic disorder or disease. Such therapeutic genes which are desirable for the performance of gene therapy include, without limitation, a very low density lipoprotein receptor gene (VLDL-R) for the treatment of familial hypercholesterolemia or familial combined hyperlipidemia, the cystic fibrosis transmembrane regulator gene (CFTR) for treatment of cystic fibrosis, DMD Becker allele for treatment of Duchenne muscular dystrophy, and a number of other genes which may be readily selected by one of skill in the art to treat a particular disorder or disease. Thus, the selection of the transgene is not considered to be a limitation of this invention, as such selection is within the knowledge of those skilled in the art.

The viral vector bearing a therapeutic gene may be administered to a patient, preferably suspended in a biologically compatible solution or pharmaceutically acceptable delivery vehicle. A suitable vehicle includes sterile saline. Other aqueous and non-aqueous isotonic sterile injection solutions and aqueous and non-aqueous sterile suspensions known to be pharmaceutically acceptable carriers and well known to those of skill in the art may be employed for this purpose.

The viral vector is administered in sufficient amounts to transfect the desired cells and provide sufficient levels of transduction and expression of the selected transgene to provide a therapeutic benefit without undue adverse or with medically acceptable physiological effects which can be determined by those skilled in the medical arts. Conventional and pharmaceutically acceptable routes of administration include direct delivery to the target organ, tissue or site, intranasal, intravenous, intramuscular,

subcutaneous, intradermal, oral and other parental routes of administration. Routes of administration may be combined, if desired.

Dosages of the viral vector will depend primarily on factors such as the condition being treated, the selected gene, the age, weight and health of the patient, and may thus vary among patients. For example, a therapeutically effective human dosage of the viral vectors is generally in the range of from about 20 to about 50 ml of saline solution containing concentrations of from about  $1 \times 10^7$  to  $1 \times 10^{10}$  pfu/ml viruses. A preferred adult human dosage is about 20 ml saline solution at the above concentrations. The dosage will be adjusted to balance the therapeutic benefit against any side effects. The levels of expression of the selected gene can be monitored to determine the selection, adjustment or frequency of dosage administration.

### III. The Method of the Invention

The method of this invention involves the co-administration of the selected immune modulator with the selected recombinant viral vector. The co-administration occurs so that the immune modulator and vector are administered within a close time proximity to each other. It is presently preferred to administer the modulator concurrently with or no longer than one to three days prior to the administration of the vector. The immune modulator may be administered separately from the recombinant vector, or, if desired, it may be administered in admixture with the recombinant vector.

As illustrated by the examples below, the immune modulator, whether it is an anti-CD40L antibody, anti-CD4 antibody or cytokine, is desirably administered in close time proximity to the administration of the viral vector used for gene therapy. Particularly,

administration of IL-12 or IFN- $\gamma$  causes reduction in T<sub>H2</sub> cell levels for about 2-3 days. Therefore, IL-12 and/or IFN- $\gamma$  are desirably administered within a day of the administration of the viral vector bearing the gene to be  
5 delivered. Preferably, however, the IL-12 and/or IFN- $\gamma$  are administered essentially simultaneously with the viral vector.

The immune modulator may be administered in a pharmaceutically acceptable carrier or diluent, such as  
10 saline. For example, when formulated separately from the viral vector, the immune modulator is desirably suspended in saline solution. Such a solution may contain conventional components, e.g. pH adjusters, preservatives and the like. Such components are known and may be  
15 readily selected by one of skill in the art.

Alternatively, the immune modulator may be itself administered as DNA, either separately from the vector or admixed with the recombinant vector bearing the transgene. Methods exist in the art for the  
20 pharmaceutical preparation of the modulator as protein or as DNA [See, e.g., J. Cohen, Science, 259:1691-1692 (1993) regarding DNA vaccines]. Desirably, the immune modulator is administered by the same route as the recombinant vector.

25 The immune modulator may be formulated directly into the composition containing the viral vector administered to the patient. Alternatively, the immune modulator may be administered separately, preferably shortly before or after administration of the viral  
30 vector. In another alternative, a composition containing one immune modulator, such as IL-12, may be administered separately from a composition containing a second immune modulator, such as anti-CD40L antibody, and so on depending on the number of immun modulators

administered. These administrations may independently be before, simultaneously with, or after administration of the viral vector.

The administration of the selected immune modulator may be repeated during the treatment with the recombinant viral vector carrying the transgene during the period of time that the transgene is expressed (as monitored by assays that detect transgene expression or its intended effect), or with every booster of the recombinant vector. Alternatively, each re-injection of the same viral vector may employ a different immune modulator.

One advantage of the method of this invention is that it represents a transient manipulation, necessary only at the time of administration of the gene therapy vector. This strategy is anticipated to be safer than strategies based on induction of tolerance, which may permanently impair the ability of the recipient to respond to viral infections.

Furthermore, the preferred use of immune modulators such as the above-mentioned cytokines or antibodies is anticipated to be safer than the use of agents such as cyclosporin or cyclophosphamide (which cause generalized immune suppression) because the transient immune modulation is selective (i.e., CTL-mediated responses are retained, as are humoral responses dependent on  $T_{H1}$  function).

In one example of efficient gene transfer according to the methods of this invention, the selected immune modulators are IL-12, which causes the selective induction of  $T_{H1}$  cells, and/or IFN- $\gamma$ , which suppresses induction of  $T_{H2}$  cells. Another preferred immune modulator is the anti-CD4<sup>+</sup> antibody, GK1.5, which depletes the  $T_{H1}$  cells and reduces CTL elimination of the vector. Yet another preferred immune modulator is the

anti-CD40 ligand monoclonal antibody, MR1, available from the American Type Culture Collection, Rockville, MD.

As exemplified below, the use of the above-identified immune modulators permitted efficient gene transfer, as well as repeated use of the same viral vector. In conjunction with gene therapy which utilized an adenovirus vector containing either an alkaline phosphatase ("ALP") transgene, a beta-galactosidase ("lacZ") transgene, or a low density lipoprotein receptor ("LDLR") transgene.

The following examples illustrate the preferred methods for preparing suitable viral vectors useful in the gene therapy methods of the invention. These examples are illustrative only and do not limit the scope of the invention.

Example 1 - Construction and Purification of Exemplary Recombinant Adenovirus Vectors

The recombinant adenovirus H5.010CMVlacZ, was constructed as follows. The plasmid pAd.CMVlac [described in Kozarsky et al, J. Biol. Chem., 269(18):13695-13702 (1994)], which contains adenovirus map units 0-1, followed by a cytomegalovirus enhancer/promoter [Boshart et al, Cell, 41:521-530 (1985)], an *E. coli* beta-galactosidase gene (*lacZ*), a polyadenylation signal (pA), adenovirus 5 map units 9.2-16 (Ad 9.2-16) and generic plasmid sequences including an origin of replication and ampicillin resistance gene was used. pAd.CMVlacZ was linearized with NheI and co-transfected into 293 cells [ATCC CRL1573] with sub360 DNA (derived from adenovirus type 5) which had been digested with XbaI and ClaI as previously described [K. F. Kozarsky, Somatic Cell Mol. Genet., 19:449-458 (1993) and Kozarsky (1994), cit d above]. The resulting recombinant virus, H5.010CMVlacZ, contains adenovirus map units 0-1,



followed by a CMV enhancer/promoter, a *lacZ* gene, a polyadenylation signal (pA), adenovirus map units 9.2-100, with a small deletion in the E3 gene at 78.5 to 84.3 mu from the Ad 5 sub360 backbone. The recombinant  
5 adenovirus H5.010CBALP contains the adenovirus map units 0-1, followed by a CMV enhanced, chicken cytoplasmic  $\beta$ -actin promoter [T. A. Kost et al, Nucl. Acids Res., 11(23):8287 (1983)], a human placental ALP gene, a polyadenylation signal (pA), and adenovirus type 5 map  
10 units 9-100, with a small deletion in the E3 gene at 78.5 to 84.3 mu from the Ad 5 sub360 backbone. This recombinant adenovirus was constructed substantially similarly to the H5.010CMVlacZ adenovirus described above. See, also, Kozarsky (1994), cited above.

15           These recombinant adenoviruses, H5.010CMVlacZ and H5.010CBALP, were isolated following transfection [Graham, Virol., 52:456-467 (1974)], and were subjected to two rounds of plaque purification. Lysates were purified on two sequential cesium chloride density  
20 gradients as previously described [Englehardt et al, Proc. Natl. Acad. Sci. USA, 88:11192-11196 (1991)]. Cesium chloride was removed by passing the virus over BioRad DG10 gel filtration columns using phosphate-buffered saline (PBS).

25           For mouse experiments, virus was either used fresh, or after column purification, glycerol was added to a final concentration of 10% (v/v), and virus was stored at -70°C until use.

30   Example 2 - Enhancement of Adenovirus Mediated Gene Transfer upon Second Administration by IL-12 and IFN- $\gamma$  in Mouse Lung.

The recombinant adenoviruses H5.010CMVlacZ and H5.010CBALP were used in this example. Each virus expresses a different reporter transgene whose expression

can be discriminated from that of the first reporter transgene.

Female C57BL/6 mice (6-8 week old) were infected with suspensions of H5.010CBALP ( $1 \times 10^9$  pfu in 50  $\mu$ l of PBS) via the trachea at day 0 and similarly with H5.010CMVlacZ at day 28. One group of such mice was used as a control. Another group of mice were acutely depleted of CD4<sup>+</sup> cells by i.p. injection of antibody to CD4<sup>+</sup> cells (GK1.5; ATCC No. TIB207, 1:10 dilution of ascites) at the time of the initial gene therapy (days -3, 0, and +3). A third group of mice was injected with IL-12 (1  $\mu$ g intratracheal or 2  $\mu$ g, i.p. injections) at the time of the first administration of virus (days 0 and +1). A fourth group of mice was injected with gamma interferon (1  $\mu$ g intratracheal or 2  $\mu$ g, i.p. injections) at the time of the first administration of virus (days 0 and +1).

When mice were subsequently euthanized and necropsied at days 3, 28, or 31, lung tissues were prepared for cryosections, while bronchial alveolar lavage (BAL) and mediastinal lymph nodes (MLN) were harvested for immunological assays.

#### A. Cryosections

The lung tissues were evaluated for ALP expression at day 3 and day 28 by histochemical staining following the procedures of Yang I, cited above.  $\beta$ -galactosidase expression was assayed at day 31 by X-gal histochemical staining. The results described below were obtained from the alkaline phosphatase histochemical stains (magnification X100) or  $\beta$ -galactosidase X-gal stains (magnification X100).

Instillation of ALP virus ( $10^9$  pfu) into the airway of all groups of the C57BL/6 mice resulted in high level transgene expression in the majority of conducting airways that diminished to undetectable levels

by day 28. Loss of transgene expression was shown to be due to CTL-mediated elimination of the genetically modified hepatocytes [Yang I, cited above]. In the control mice, no recombinant gene expression was detected three days after the second administration of virus, i.e., at day 31.

Administration of virus to the CD4<sup>+</sup>-depleted animals was associated with high level recombinant transgene expression that was stable for a month. Expression of the second virus was detectable on day 31. Thus, depletion of the CD4<sup>+</sup> cells effectively permits readministration of the vector without immediate CTL elimination.

Initial high level gene transfer diminished after about one month in the IL-12 treated mice. However, in contrast to the control, high level gene transfer to airway epithelial cells was achieved when virus was readministered to IL-12 treated animals at day 28, as seen in the day 31 results.

The gamma-interferon treated animals were virtually indistinguishable from the animals treated with IL-12 in that efficient gene transfer was accomplished upon a second administration of virus.

Thus, the use of these cytokines as immune modulators enabled the repeated administration of the vector without its immediate elimination by neutralizing antibody. In other experiments, T<sub>H2</sub> cells were not inhibited at the expense of increased T<sub>H1</sub> activation. In mice treated with the ALP virus parenterally and IL-12 i.p., the IL-12 did not increase adenovirus-specific CTL activity as shown by chromium release assays. More importantly, treatment of the animals with IL-12 at the time of intratracheal instillation of virus did not enhance inflammation or diminish transgene persistence following a second administration of virus.

**B. Immunological Assays - MLN**

Lymphocytes from MLN of the control group and IL-12 treated group of C57BL/6 mice were harvested 28 days after administration of H5.010CBALP and restimulated  
5 *in vitro* with UV-inactivated H5.010CMVlacZ at 10 particles/cell for 24 hours. Cell-free supernatants were assayed for the presence of IL-2 or IL-4 on HT-2 cells (an IL-2 or IL-4-dependent cell line) [Yang I, cited above]. Presence of IFN- $\gamma$  in the same lymphocyte culture  
10 supernatant was measured on L929 cells as described [Yang I, cited above]. Stimulation index (S.I.) was calculated by dividing  $^3\text{H}$ -thymidine cpm incorporated into HT-2 cells cultured in supernatants of lymphocytes restimulated with virus by  $^3\text{H}$ -thymidine cpm incorporated into HT-2 cells  
15 cultured in supernatants of lymphocytes incubated in antigen-free medium.

The results are shown in Table I below.

Table I

5	<u><sup>3</sup>H-Thymidine Incorporation (cpm±SD)</u>			<u>IFN-γ</u>
	Medium	H5.010CMVlacZ	S.I.	<u>titre</u> (IU/ml) <sup>d</sup>
	C57BL/6	175 ± 40	2084 ± 66	11.91
	anti-IL2 (1:5000)		523 ± 81	2.98
10	anti-IL4 (1:5000)		1545 ± 33	8.83
	C57BL/6 +IL12	247 ± 34	5203 ± 28	21.07
				160
15	anti-IL2 (1:5000)		776 ± 50	3.14
	anti-IL4 (1:5000)		4608 ± 52	18.66

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20                      Stimulation of lymphocytes from regional lymph nodes with both recombinant adenoviruses led to secretion of cytokines specific for the activation of both T<sub>H1</sub> (i.e., IL-2 and IFN-γ) and T<sub>H2</sub> (i.e., IL-4) subsets of T helper cells (Table I).

25                      Analysis of lymphocytes from the IL-12 treated animals stimulated in vitro with virus revealed an increased secretion of IL-2 and IFN-γ relative to the production of IL-4, when compared with animals that did not receive IL-12 (i.e., ratio of IL-2/IL-4 was increased

30 from 3 to 6 when IL-12 was used; Table I).

#### C. Immunological Assays - BAL

BAL samples obtained from animals 28 days after primary exposure to recombinant virus were evaluated for neutralizing antibodies to adenovirus and

35 anti-adenovirus antibody isotypes as follows. The same

four groups of C57BL/6 mice, i.e., control, CD4<sup>+</sup> depleted, IL-12 treated and IFN- $\gamma$  treated, were infected with H5.010CBALP. Neutralizing antibody was measured in serially-diluted BAL samples (100  $\mu$ l) which were mixed  
5 with H5.010CBlacZ ( $1 \times 10^6$  pfu in 20  $\mu$ l), incubated for 1 hour at 37°C, and applied to 80% confluent Hela cells in 96 well plates ( $2 \times 10^4$  cells per well). After 60 minutes of incubation at 37°C, 100  $\mu$ l of DMEM containing 20% FBS was added to each well. Cells were fixed and  
10 stained for  $\beta$ -galactosidase expression the following day.

All cells were lacZ positive in the absence of anti-adenoviral antibodies.

Adenovirus-specific antibody isotype was determined in BAL by using an enzyme-linked immunosorbent  
15 assay (ELISA). Briefly, 96-well plates were coated with 100  $\mu$ l of PBS containing  $5 \times 10^9$  particles of H5.010CBlacZ for 18 hours at 4°C. The wells were washed 5 times with PBS. After blocking with 200  $\mu$ l of 2% BSA in PBS, the plates were rinsed once with PBS and  
20 incubated with 1:10 diluted BAL samples for 90 minutes at 4°C. Thereafter, the wells were extensively washed and refilled with 100  $\mu$ l of 1:1000 diluted ALP-conjugated anti-mouse IgG or IgA (Sigma). The plates were incubated, subsequently washed 5 times, and 100  $\mu$ l of the  
25 substrate solution (p-nitrophenyl phosphate, PNPP) was added to each well. Substrate conversion was stopped by the addition of 50  $\mu$ l of 0.1M EDTA, and reactions were read at 405 nm.

The results are shown graphically in Figs.  
30 1A through 1C, which summarize neutralizing antibody titer, and the relative amounts (OD<sub>405</sub>) of IgG and IgA present in BAL samples. The titer of neutralizing antibody for each sample was reported as the highest dilution with which less than 50% of cells stain d blue.

As demonstrated in the first bar of Figs. 1A through 1C, the cytokines identified in Table 1 above were associated in the control mice with the appearance of antibodies to adenovirus proteins in BAL of both the IgG and IgA isotypes that were capable of neutralizing the human Ad5 recombinant vector in an *in vitro* assay out to a 1:800 dilution.

As shown in the second bar of the graphs of Figs. 1A through 1C, transient CD4<sup>+</sup> cell depletion inhibited the formation of neutralizing antibody (Fig. 1A) and virus specific IgA antibody (Fig. 1C) by 80-fold, thereby allowing efficient gene transfer to occur following a second administration of virus. Fig. 1B shows a slight inhibition of IgG as well.

As shown in the third bar of the three graphs, IL-12 selectively blocked secretion of antigen specific IgA (Fig. 1C), without significantly impacting on formation of IgG (Fig. 1B). This was concurrent with a 20-fold reduction in viral-specific neutralizing antibody (Fig. 1A).

The gamma-interferon treated animals (fourth bar of Figs. 1A and 1B) were virtually indistinguishable from the animals treated with IL-12 in that virus specific IgA (Fig. 1C) and neutralizing antibody (Fig. 1A) were decreased as compared to the control animals not treated with cytokine, but not to the extent obtained with those treated with IL-12.

These studies demonstrate that the administration of selected immune modulators to recipients of gene therapy recombinant viral vectors at or about the time of primary exposure to the vector can prevent the formation of blocking antibodies and/or CTL elimination of the vector both initially and at the time

of repeated exposure to the viral vector. The concordant reduction of neutralizing antibody with antiviral IgA suggests that immunoglobulin of the IgA subtype is primarily responsible for the blockade to gene transfer.

5    Example 3 - Enhancement of Adenovirus Mediated Gene Transfer upon Second Administration by IL-12 and IFN- $\gamma$  in Mouse Liver

Experiments substantially identical to those described in Example 2 above were conducted in which  
10    viral vectors were administered into the blood for introduction of the transgene into the liver (rather than intratracheal delivery into the lung).

The recombinant adenoviruses H5.010CMVlacZ and H5.010CBALP were used in this example.

15    Female C57BL/6 mice (6-8 weeks old) were injected with suspensions of H5.010CBALP ( $1 \times 10^9$  pfu in 50  $\mu$ l of PBS) i.p. at day 0 and similarly with H5.010CMVlacZ at day 28. One group of such mice was used as a control. Another group of mice was acutely depleted  
20    of CD4<sup>+</sup> cells by i.p. injection of antibody to CD4<sup>+</sup> cells (GK1.5; ATCC No. TIB207, 1:10 dilution of ascites) at the time of the initial gene therapy (days -3, 0, and +3). A third group of mice was injected with IL-12 (2  $\mu$ g, i.p. injections) at the time of the first administration of  
25    virus (days 0 and +1). A fourth group of mice was injected with gamma interferon (2  $\mu$ g, i.p. injections) at the time of the first administration of virus (days 0 and +1).

When mice were subsequently euthanized and  
30    necropsied at days 3, 28, or 31, liver tissues were prepared for cryosections according to the procedures used above for lung tissue in Example 2.



The cryosection results were substantially similar for liver-directed gene therapy according to this method as for the lung-directed therapy of Example 2 above. The results described below were obtained from the alkaline phosphatase histochemical stains (magnification X100) or  $\beta$ -galactosidase X-gal stains (magnification X100).

Administration of ALP virus ( $10^9$  pfu) into the veins of all groups of the C57BL/6 mice resulted in high level transgene expression in liver tissue that diminished to undetectable levels by day 28. Loss of transgene expression was shown to be due to CTL mediated elimination of the genetically modified hepatocytes [see also, Yang I, cited above].

In the control mice, no recombinant gene expression was detected three days after the second administration of virus, i.e., day 31.

Administration of virus to the CD4<sup>+</sup> depleted animals was associated with substantially lower neutralizing antibodies and high level recombinant transgene expression that was stable for a month. Expression of the second virus was detectable on day 31.

Initial high level gene transfer diminished after about one month in the IL-12 treated mice; however, in contrast to the control, some gene transfer to the liver via the blood was achieved when virus was readministered to IL-12 treated animals at day 28 and the level of neutralizing antibody was reduced.

The gamma-interferon treated animals were virtually indistinguishable from the animals treated with IL-12 in that efficient gene transfer was accomplished upon a second administration of virus.

Thus, the use of these cytokines and the anti-CD4<sup>+</sup> antibodies as immune modulators enabled the repeated liver-directed administration of the vector without its immediate elimination by neutralizing  
5 antibodies.

Example 4 - Adenovirus Mediated Gene Transfer in Mouse Liver

Immune responses to primary administration of recombinant virus were characterized further using  
10 different strains of mice.

Recombinant virus (H5.010CMVLacZ or H5.010CBALP) was inactivated with ultraviolet light in the presence of 8-methoxypsoralen. Briefly, purified virus was resuspended in 0.33 mg/ml of 8-methoxypsoralen  
15 solution and exposed to a 365 nm UV light source on ice at 4 cm from the lamp filter for 30 min. The virus was then passed over a Sephadex G-50 column equilibrated with PBS. Limiting dilution transduction assays of inactivated stocks of virus demonstrated less than one  
20 functional virus per 10<sup>5</sup> particles of inactivated virus. Suspensions of the viruses (2X10<sup>9</sup> pfu in 100 µl of PBS) were infused into the tail vein of 6 to 8 week old female mice as detailed in the following experiments. Each  
25 experiment was performed with a minimum of three mice in which transgene expression was quantified in a section of each of 5 lobes. The minimum analysis was 15 sections per experimental condition.

a. C57BL/6 mice [H-2<sup>b</sup>; Jackson Laboratories, Bar Harbor, ME] were injected with suspensions of  
30 H5.010CMVLacZ at day 0 and similarly with H5.010CBALP at day 28 ("B6 mice");

b. C57BL/6 mice w r injected with UV-inactivated H5.010CMVLacZ at day 0 and H5.010CBALP at day 28 ("B6-UV mice");

c. MHC class II-deficient (II<sup>-</sup>) mice

[GenPharm International, Mountain View, CA], bred into the C57BL/6 background (between 5-10 generations) and which carry the H-2<sup>b</sup> haplotype, are unable to express I-A<sup>b</sup> determinants and cannot develop CD4<sup>+</sup> T cell mediated responses [Grusby et al, Science, 253:1417-1420 (1991)]. These mice were infected with H5.010CBALP at day 0 and H5.010CMVlacZ at day 28 ("class II<sup>-</sup> mice");

d. B2 microglobulin deficient (B2m<sup>-</sup>) mice

[GenPharm International, Mountain View, CA], bred onto the C57BL/6 background (between 5-10 generations) and which carry the H-2<sup>b</sup> haplotype are unable to develop MHC class I associated responses. These mice were infected with H5.010CMVlacZ at day 0 and H5.010CBALP at day 28 ("B2m<sup>-</sup> mice");

e. C57BL/6 mice were inoculated i.p. with 0.5 ml aliquots of 1:10 dilution of mouse ascites fluid containing the GK1.5 (anti-CD4 MAb, ATCC TIB207) at days -3, 0 and +3 as described in Example 2. This was equivalent to 100 µg of purified monoclonal antibody per injection. These CD4<sup>+</sup> cell-depleted mice were infected with H5.010CMVlacZ at day 0 and H5.010CBALP at day 28 ("CD4Ab mice"); and

f. C57BL/6 mice, treated with IL-12 (2µg in 200 µl PBS) i.p. on day 0 and day +1 as described in Example 2, were infected with H5.010CMVlacZ at day 0 and H5.010CBALP at day 28 ("IL-12 mice").

Mice from each group were subsequently euthanized and liver tissues evaluated for lacZ expression by X-gal histochemistry (magnification X100) at day 3 and day 28; and for ALP expression by histochemical staining at day 31 (magnification X100). Development of neutralizing antibody to ad novirus in each group of mice was examined in serum samples obtained at day 28.

Infusion of *lacZ* virus into C57BL/6 mice was associated with high level, but transient, expression of the reporter gene and the eventual development of neutralizing antibody directed against adenoviral antigen (Fig. 2). No gene transfer was detected when the ALP virus was subsequently infused into these animals. In contrast, the class II<sup>-</sup> mice did not produce neutralizing antibody (Fig. 2) and were receptive to high level gene transfer from a second administration of virus. Similarly, animals transiently depleted of CD4 partially stabilized *lacZ* expression and did not develop neutralizing antibody, allowing efficient readministration of virus.

In the B6-UV mice which received UV-inactivated recombinant virus, the inactivated virus generated a full neutralizing antibody response (Fig. 2) that completely prevented subsequent gene transfer. This result demonstrates that capsid proteins of the input virus are sufficient to activate a blocking T helper cell and B cell-mediated humoral immune response.

The experiment with B2m<sup>-</sup> mice was performed to evaluate the role of CD8 cells and class I MHC expression in the primary response to virus [Zijlstra et al, *Nature*, 344:742-746 (1990)]. Transgene expression was stable in these animals consistent with prior reported data [Yang III, cited above]. However, gene transfer occurred at a significant level in the setting of a readministration of virus. This was unexpected because this strain of mice should have all components of the immune response necessary to produce neutralizing antibody, (i.e., CD4 cells, MHC class II expression and B cells). These animals failed to mount a significant neutralizing antibody response to adenoviral antigens. These results suggest dysregulation of T helper and/or B cell activation (Fig. 2).

Analysis of lymphocytes from B2m- animals demonstrated antigen-activated secretion of IFN- $\gamma$  in excess of that measured in C57BL/6 mice, possibly due to the persistence of virus-infected cells and the chronic activation of T<sub>H1</sub> cells. The amplified T<sub>H1</sub> response in B2m- mice could lead to an inhibition of T<sub>H2</sub> cells resulting in diminished production of anti-viral antibodies.

Transgene expression was found to be stabilized in animals deficient in CD8 cells and MHC class I by virtue of a germ line B2m- interruption. Specific ablation of perforin, the molecule on CTLs and natural killer (NK) cells that mediates cytolysis, similarly prolongs of transgene expression (data not shown).

Example 5 - Effect of CD4 Antibody on Ad-mediated gene transfer upon repeated administrations

Suspensions of the recombinant adenoviruses expressing different transgenes were infused into the tail vein of C57BL/6 (H-2<sup>b</sup>) mice at 21 day intervals. H5.010CMVLDLR is an adenovirus deleted of the E1a and E1b genes and has a deletion in E3 gene at 78.5 to 84.3 mu from the Ad 5 sub360 backbone, with a LDL receptor gene in place of the E1 deletion [described in Kozarsky et al, J. Biol. Chem., 269:1-8 (1994)].

H5.010CMVLDLR was administered at day 0; H5.010CMVlacZ at day 21; and H5.010CBALP at day 42. A control group of mice was administered i.p. injections of saline at days -3, 0 and +3, with respect to each infusion of virus. A second group of mice was given i.p. injections of CD4 depleting antibody to block T helper cell activation (GK1.5 mAb) in the same protocol. A third group of mice was given i.p. injections of GK1.5 mAb at days -3, 0, 3, 18, 21 and 24. A fourth group of

mice, which did not receive the initial administration of H5.010CMVLDLR, was treated with GK1.5 mAb at days -3, 0 and 3.

The mice were subsequently euthanized, and the liver tissues were evaluated for LDLR expression by immunohistochemistry at day 3, for lacZ expression by X-gal histochemistry at day 24, and for ALP expression by histochemical staining at day 45. The assays were performed as follows:

A. Immunofluorescent staining for LDLR expression was performed as follows: Frozen sections (6  $\mu$ m) were fixed in methanol as described in Morris et al, cited above. After blocking with 10% goat serum in PBS (GS/PBS), sections were incubated with a polyclonal antibody to LDLR (1:200) for 60 minutes, and then with goat anti-rabbit IgG-FITC for 30 minutes. Sections were washed and mounted with Citiflour (Citifluor, UK).

B. X-gal histochemistry was performed as follows: Sections of fresh frozen tissue (6  $\mu$ m) were fixed in 0.5% glutaraldehyde for 10 minutes, rinsed twice for 10 minutes in PBS containing 1 mM  $MgCl_2$  and incubated in 1 mg/ml of 5-bromo-4-chloro-3-indolyl  $\beta$ -D-galactopyranoside (X-gal), 5 mM  $K_3Fe(CN)_6$ , 5 mM  $K_4Fe(CN)_6$ , and 1 mM  $MgCl_2$  in PBS for 3 hours.

C. ALP histochemistry was performed as follows: Frozen sections (6  $\mu$ m) were fixed in 0.5% glutaraldehyde for 10 minutes, rinsed in PBS, incubated at 65°C for 30 minutes to inactivate endogenous ALP activity, washed in 100 mM Tris (pH 9.5), 100 mM NaCl and 50 mM  $MgCl_2$ , and stained in the same buffer containing 0.165 mg/ml of 5-bromo-4-chloro-3-indolyl phosphate (BCIP) and 0.33 mg/ml Nitroblue Tetrazolium (NBT) at 37°C for 30 minutes.

The results discussed below were obtained from analyses of the cytochemical stains of liver tissue three days after each virus infusion, including *LDLR* virus on day 0, *lacZ* virus on day 21 and *ALP* virus on day 42 (magnification X150).

Serum samples were also collected at days 0, 3, 7, 14, 21, 28, 35 and 42 from each group of animals and assayed for neutralizing antibody titer. Serum samples were incubated at 56°C for 30 minutes and then diluted in DMEM in twofold steps starting from 1:20. Each serum dilution (100  $\mu$ l) was mixed with H5.010CMVlacZ ( $2 \times 10^6$  pfu in 20  $\mu$ l), incubated for 1 hour at 37°C, and applied to 80% confluent Hela cells in 96-well plates ( $2 \times 10^4$  cells per well). After 60 minutes incubation at 37°C, 100  $\mu$ l of DMEM containing 20% FBS were added to each well. Cells were fixed and stained for  $\beta$ -galactosidase expression on the following day. All of the cells stained blue in the absence of serum samples. The titer of neutralizing antibody for each sample was reported as the highest dilution with which less than 50% of cells stained blue. Figs. 3A-3D report the antibody titer expressed as a function of days post-infection.

These experiments demonstrated that in the group of mice receiving no CD4 antibodies, efficient gene transfer occurred following the first virus. However, the development of neutralizing antibody blocked gene transfer with the subsequent two viruses. Neutralizing antibody rapidly appeared in serum following the second virus if CD4 antibodies were not coadministered (Fig. 3B). The third virus was not effective in these animals. In contrast, administration of CD4 antibodies at the time of first infusion of virus prevented the formation of neutralizing antibodies (Fig. 3B) and allowed high level gene transfer with the second virus. The appearance of neutralizing antibody following the second virus was

accelerated (Fig. 3B as compared to the time course of a primary response in Fig. 3A), suggesting that activation of some level of cellular immunity does occur even in the presence of CD4 antibodies. Administration of CD4 antibody with the second virus again blocked neutralizing antibody in the group of mice receiving CD4 antibodies and both viruses, allowing efficient gene transfer with the third virus.

These experiments demonstrate that transient immune blockade at the time of virus delivery, as opposed to chronic immune suppression, is all that is necessary for efficient gene transfer.

The fourth group of animals received CD4 antibody on day -3 without H5.010CMVLDLR administration, i.e., 21 days prior to primary administration of virus. Neutralizing antibody that developed subsequent to primary challenge of virus blocked gene transfer 21 days later (Fig. 3D) in a manner indistinguishable from that observed in naive animals not pretreated with CD4 antibody (Fig. 3A). This result confirms the transient nature of the CD4 depletion.

#### Example 6 - Effect of IL-12 on Ad-specific Antibody Isotypes and CTL responses

A. Serum samples obtained from the C57BL/6 mice ("B6") and IL-12 treated C57BL/6 ("B6+IL12") mice of Example 5 were tested 28 days after infection for adenovirus-specific IgG1 and IgG2a antibody isotypes.

A solid phase enzyme linked immunosorbent assay (ELISA) using purified H5.010CMVlacZ virus as antigen was performed. Immunolon-2-U microtiter plates (Fisher) were coated with 200 ng/well of viral antigen in 100 µl of PBS for 6 hours at 37°C, washed three times in PBS, and blocked in PBS/1% BSA overnight at 4°C. The following day, 4-fold serial diluted serum samples were added to



antigen-coated plates and incubated for 4 hours at 37°C. Plates were washed three times in PBS/1% BSA and incubated with goat anti-mouse IgG1-biotin or goat anti-mouse IgG2a-biotin (CALTAG Laboratories, San Francisco, CA) at 1:5000 dilution for 2 hours at 37°C. Plates were washed as above and Avidin-ALP (Sigma) was added to each well at 1:5000 dilution for 1 hour at 37°C. Wells were again washed as above and PNPP substrate was added. Optical densities were read on a Biorad model 450 microplate reader.

Figs. 4A and 4B summarize the relative amounts (OD<sub>405</sub>) of IgG1 and IgG2a, respectively, present in serum samples as a function of sample dilutions. The ELISA assays of sera revealed anti-viral antibodies of both IgG1 and IgG2a subtypes consistent with activation of both T<sub>H1</sub> and T<sub>H2</sub> subsets, respectively. Animals receiving IL-12 produced less anti-viral IgG1 at the expense of an increased production of IgG2a.

B. Splenocytes harvested from C57BL/6 mice ("B6") and IL-12-treated C57BL/6 mice ("B6+IL12") of Example 5 were restimulated *in vitro* 10 days after administration of H5.010CBALP with H5.010CMVlacZ for 5 days in DMEM supplemented with 5% FBS and 50 mM 2-mercaptoethanol. These cells were tested for specific lysis on mock-infected ("mock") and H5.010CBALP ("ALP")-infected C57SV cells in a 6 hour <sup>51</sup>Cr release assay performed subsequently using the following ratios of effector to target cells (C57SV, H-2<sup>b</sup>) in 200 μl DMEM with 10% FBS in V-bottom 96-well plates (E:T = 50:1, 25:1, 12:1, 6:1, 5:1 and 3:1).

Prior to mixing with the effector cells, target cells (1 x 10<sup>6</sup>) were labeled with 100 μCi of <sup>51</sup>Cr after a 24 hr-infection with H5.010CMVlacZ at an moi of 50 and used at 5 x 10<sup>3</sup> cells/well. After incubation for 6 hr, aliquots of 100 μl supernatant were removed for counting

in a gamma counter. Percentage of specific  $^{51}\text{Cr}$  release was calculated as:  $[(\text{cpm of sample} - \text{cpm of spontaneous release}) / (\text{cpm of maximal release} - \text{cpm of spontaneous release})] \times 100$ . The results, reported as percentage of specific lysis as a function of different effector to target ratios (Fig. 5), show that CTL activity against virus-infected target cells was unaffected by IL-12 treatment.

The net result was a 3-fold reduction in neutralizing antibody, the magnitude of which was insufficient to allow efficient gene transfer upon readministration of virus. Differences in efficiency of virus readministration between the  $\beta 2\text{m}^-$  mice and the IL-12 treated C57BL/6 mice could reflect inadequate cytokine mediated repression following i.p. injection of IL-12 or mechanisms other than inhibition of  $\text{T}_{\text{H}2}$  activation.

Example 7 - CD40L-deficient Mice Illustrate the Necessary Role of T Cell Activation in Host Responses to Adenoviral Vectors

The role of CD40L mediated signaling of T cells in cellular and humoral immune responses to adenoviral vectors was studied in mice genetically deficient in CD40L. Previous studies have demonstrated abnormalities in thymic dependent B cell responses in these mice [J. Xu et al, *Immunity*, 1:423-431 (1994) and B. Renshaw et al, *J. Exp. Med.*, 180:1889-1900 (1994)]. CD40L-deficient mice (CD40L KO) and their normal litter mates in a C57BL/6-129 chimeric background [J. Xu et al, cited above] were administered lacZ containing E1 deleted adenovirus (H5.010CMVlacZ) on day 0 into the trachea ( $1 \times 10^9$  in 50  $\mu\text{l}$  of PBS), to effect gene transfer to lung, and into the peripheral circulation via the tail vein ( $2 \times 10^9$  in 100  $\mu\text{l}$  in PBS), to effect g n transfer to liver. Animals were retreated with H5.010CBALP, an

adenoviral vector containing a different reporter gene (alkaline phosphatase, ALP), on day 28. Blood was analyzed prior to the second vector administration for neutralizing antibodies, and tissues were harvested for analysis of reporter gene expression 3 days later (i.e., day 31). Animals were sacrificed 3 and 28 days later to assess the efficiency and stability of transgene expression, respectively. Table II summarizes morphometric analyses of these tissues.

10

Table II

Quantitative Analysis of Mouse Lung and Liver  
for Efficiency of Transgene Expression

	Day 3	Day 28	Day 31
<sup>1</sup> Lung (% airways >25% transgene expression)			
Control	76	0	0
CD40L Ab	72	42	30
CD40L KO	75	30	45
<sup>2</sup> Liver (% transgene expression)			
Control	90.5±2.6	0	0
CD40L Ab	89.3±3.1	46.7±4.8	8.2±4.2
CD40L KO	92.3±4.0	60.4±2.8	85.9±3.4

25

<sup>1</sup> Data were quantified by examining a total of 100 airways from 3 mice for the presence of transgene-containing respiratory epithelial cells using the criteria of a positive airway as the transgene was greater than 25%.

<sup>2</sup> Data are presented as the mean ±1 S.D.

Normal litter mates that were administered vectors demonstrated high level transgene expression at day 3 in lung and liver that diminished to undetectable levels by day 28 (similar to what is seen in naive C57BL/6 mice; Table II). Serum and bronchial alveolar lavage (BAL) were analyzed for neutralizing antibody to human Ad5 as described in Y. Dai et al, Proc. Natl. Acad. Sci. USA, 92:1401-1405 (1995). Substantial neutralizing antibody developed to adenoviral capsid proteins by day 28 in either BAL fluid of animals that received vector intratracheally or in blood of animals that received vector into the venous circulation (Fig. 6). Readministration of vector on day 28 was unsuccessful as evidenced by the lack of transgene expression in the target organ 3 days later (similar to what is seen in C57BL/6 mice, Table II). Substantially different results were obtained in the CD40L deficient mice. Transgene expression was stable with little diminution for 28 days in both lung and liver (Table II). In addition, neutralizing antibody failed to develop (Fig. 6), resulting in highly efficient transgene expression following a second administration of virus (Table I).

Example 8 - Transient Blockade of CD40 Ligand with Antibody Prevents Primary T Cell Activation and Prolongs Transgene Expression

The following example demonstrates that transient inhibition of CD40L with antibody blocked CD4<sup>+</sup> T cell priming in the lung model of gene therapy and effectively eliminated CD4 T and B cell effector responses. The persistent transgene expression and efficiency of vector readministration into the lung was essentially identical in animals genetically deficient in CD40L (Example 7 above) as compared to those transiently inhibited with CD40L antibody (see below).

The encouraging results obtained in the CD40L deficient mice as shown in Example 7 above provided a basis for developing an adjunct gene therapy with adenoviral vectors based on pharmacologic inhibition of CD40L signaling. This therapy is based upon findings that the capsid proteins of the input virus are the primary source of antigen for CD4<sup>+</sup> T cell activation, thereby restricting the time of costimulatory blockade to a short interval when vector is administered.

Experiments in C57BL/6 mice (six weeks of age, females) not treated with antibody or treated with isotype control antibody demonstrated high level transgene expression at day 3 in lung (Table II) and liver (Table II), that diminished to undetectable levels by day 28 (Table II). Gene transfer experiments were also performed in C57BL/6 animals injected with 100 µg mAb to CD40L (MR1, ATCC Hybridoma HB11048) i.p. on days -3, 0, +3 and +6 relative to the initial vector administration or equivalent quantities of a control hamster monoclonal antibody. Studies in murine lung demonstrated stabilization of transgene expression in CD40L mAb treated animals: the number of airways showing transgene in >25% of epithelial cells showed minimal decline from 72% on day 3 to 42% on day 28 (Table II). Transgene expression was also stabilized in liver of animals treated with CD40L mAb, in which transgene expressing hepatocytes diminished slightly from 89% to 47% over a 28 day interval (Table II). Transgene expression is stabilized in CD40L antibody treated animals at least 6 weeks, which is the longest time point evaluated (data not shown).

Recipient animals were analyzed for antigen specific activation of CD4<sup>+</sup> and CD8<sup>+</sup> T cells using both *in vitro* and *in vivo* assays. The effect of CD40L blockade on CD4<sup>+</sup> T cells was studied in proliferation

assays of lymphocytes stimulated with UV-inactivated adenovirus essentially as described below (Table III). Briefly, lymphocytes of mediastinal lymph nodes (for lung experiment) or splenocytes (for liver experiment) from mice 10 days after administration of viruses were restimulated with UV-inactivated virus for 24 hours. Supernatants were tested on HT-2 cells (ATCC, CRL 1841) for cytokine secretion, and proliferation was assessed 72 hours later by measuring  $^3\text{H}$ -thymidine incorporation.

10 Activation of adenoviral specific T cells, as quantified by the stimulation index, was documented initially at day 7 in nonantibody treated animals that were administered via vector into lung or liver. Activation of adenoviral specific T cells increased progressively over the ensuing

15 14 days. Stimulation index was calculated by dividing  $^3\text{H}$  counts in the presence of antigen by those in the absence of antigen. T cell activation was substantially inhibited in both models by coadministration of CD40L antibody. The greatest inhibition was observed in

20 animals administered vector into lung.

Table III

CD4<sup>+</sup> T Cell Responses and Neutralizing Antibody

5		<u>Stimulation Index<sup>1</sup></u>	<u>Neutralizing Antibody<sup>2</sup></u>	
		Day 7	Day 21	Day 28
	Lung			
	Control	11.2 ± 1.1	52 ± 2	267 ± 92
	CD40L Ab	1.2 ± 0.1	10 ± 1	20 ± 0
10	CD40L KO	N.D.	N.D.	20 ± 0
	Liver			
	Control	20 ± 1	53 ± 2	533 ± 185
	CD40L Ab	4.1 ± 0.5	21 ± 1	66 ± 23
15	CD40L KO	N.D.	N.D.	20 ± 0

<sup>1</sup> Data are presented as the mean stimulation index of three determinations ±1 S.D. N.D. - Not determined.

<sup>2</sup> Data are presented as the mean neutralizing antibody titer (reciprocal dilution) of three samples ±1 S.D.

20                    Activation of CD8<sup>+</sup> T cells by virus-infected  
cells was analyzed in chromium release assays using MHC  
H-2 compatible target cells infected with adenoviral  
vectors. As shown previously, specific lysis was  
demonstrated with lymphocytes harvested from C57BL/6  
25 recipients on day 7, which were stimulated *in vitro* with  
adenovirus infected antigen presenting cells, and  
incubated with adenoviral infected targets (Fig. 7). No  
lysis was demonstrated to mock infected targets.

Lymphocytes harvested from animals treated with CD40L antibody also demonstrated CTL activity to adenovirus infected cells. However, the extent of lysis was consistently lower than that obtained from immune ablated animals. The necessity to amplify CTL by in vitro stimulation prior to the cytolytic assay may obscure more significant differences in CTL activation that occurred following the primary exposure in vivo.

The primary effect of CD40L inhibition on the activation of adenoviral specific CD4<sup>+</sup> T cells was further evaluated in vivo using techniques of immunocytochemistry. These experiments were restricted to the model of liver directed gene transfer because of technical limitations of immunofluorescence in lung sections. Liver tissues were analyzed on day 14 for infiltration of CD4<sup>+</sup> and CD8<sup>+</sup> T cells by double immunofluorescence. Nonantibody treated animals showed a typical mixed lymphocyte infiltrate that was dominated by CD4<sup>+</sup> T cells and associated with substantial upregulation of MHC class I on the basolateral surface of hepatocytes. Previous studies have suggested that secretion of IFN- $\gamma$  from T<sub>H1</sub> activated CD4<sup>+</sup> T cells contributes to the increase in MHC class I which may sensitize the hepatocytes to CTL mediated elimination. Animals treated with antibody to CD40L still mobilized a mixed lymphocyte infiltrate. However, the proportion of CD4<sup>+</sup> T cells is substantially lower and the increase in MHC class I is substantially blunted. The specificity of the immunofluorescence assays was demonstrated in mock infected animals.



Example 9 - CD40L Antibody Prevents Formation of Blocking Antibody in Lung Directed Gene Transfer

The impact of transient blockade of CD40L signaling at the time of vector administration on the production of neutralizing antibody and efficiency of repeated vector administration was evaluated in this experiment. Animals that received vector on day 0 with or without mAb were retreated with an adenoviral vector containing a different reporter gene on day 28. Blood was analyzed prior to administration of the second vector for neutralizing antibodies, and tissues were harvested for analysis of reporter gene expression 3 days later (i.e., day 31).

The most impressive results were obtained in the model of lung directed gene therapy. The development of neutralizing antibody in BAL following lung-directed gene transfer of vector was inhibited 20-fold in animals administered CD40L antibody (Fig. 7). Gene transfer with the second vector was unsuccessful in nonantibody treated animals or animals treated with an isotype control mAb (data not shown), as evidenced by the complete absence of transgene expression 3 days after vector readministration. This contrasts with animals treated during the first vector administration with CD40L antibody in which gene transfer was accomplished following a second administration of vector. Transgene expression was detected in >25% of airway epithelial cells of 30% of airways following the second vector, which is only slightly lower than the number of airways that express transgene in a naive animal treated with vector (i.e., 75%; Table II). Antibody to CD40L partially blocked the production of neutralizing antibody in serum following intravenous infusion of virus (Fig. 7). This was sufficient to enable some gene transfer to liver with the second vector (8% of hepatocytes), that

did not occur in the absence of antibody, but is substantially reduced from that achieved following a primary administration of vector in a naive animals (89%).

5    Example 10 - Short Course of Cyclophosphamide Prevents Destructive Immune Responses in Mouse Lung and Liver

C57BL/6 mice were given cyclophosphamide in various dosing regimens at the time an E1-deleted lacZ virus was administered into the blood, to study liver  
10    directed gene transfer, and into the trachea, to study lung directed gene transfer. A second E1-deleted virus, expressing the alkaline phosphatase reporter gene, is readministered into the same organ that received the  
15    first vector. Lymphocytes were isolated from regional sites and evaluated in vitro for vector specific T cell activation. Tissues were harvested at various times for analysis of inflammation and its consequences as well as expression of both the lacZ and ALP reporter genes.

A.    Animal Studies

20    C57BL/6 female mice were injected with 1 x 10<sup>9</sup> pfu H5.010CMVlacZ via trachea (lung studies) or tail vein (liver studies) on day 0. Cyclophosphamide injections were given iv as indicated (in 200 ml PBS). H5.010CBALP was injected as described above on day 28.  
25    Animals were sacrificed on day 3, 28, 31 and 50 for analysis of transgene expression. When necropsy was performed, lung and liver tissues were prepared for cryosections, while spleen, bronchial alveolar lavage (BAL) and mediastinal lymph nodes (MLN) were harvested  
30    for immunological assays.

B.    Morphological Analyses

For immunocytochemical analyses, frozen liver tissue was cryosectioned, while lungs were inflated with a 1:1 mixture of PBS/OTC, frozen and blocks

cryosectioned. For X-Gal (5-bromo-4-chloro-3-indolyl b-D-galactopyranoside) histochemistry frozen 6 mm tissue sections were fixed in 0.5% glutaraldehyde for 10 min, washed twice with PBS containing 1 mM  $MgCl_2$ , and  
5 incubated in 1 mg of X-Gal per ml, 5 mM  $K_3Fe(CN)_6$ , 5 mM  $K_4Fe(CN)_6$ , and 1 mM  $MgCl_2$  in PBS for 4 hours. For alkaline phosphatase staining, frozen sections were fixed in 0.5% glutaraldehyde for 10 minutes and washed twice in PBS. The sections were incubated at 65°C for 30 minutes  
10 to inactivate endogenous alkaline phosphatase activity, washed once in PBS and stained in 100 mM Tris-HCl (pH 9.5), 100 mM NaCl, 50 mM  $MgCl_2$  containing 0.165 mg of BCIP (5-bromo-4-chloro-3-indolyl phosphate) and 0.33 mg of nitroblue tetrazolium per ml at 37°C for 30 minutes.

15                   C.    **Immunofluorescence**

Frozen sections were fixed in -20°C methanol for 10 minutes, air dried and rehydrated in PBS twice and unspecific binding blocked in 10% goat serum/PBS for 30 minutes. Sections were incubated for 1  
20 hours with either rat-anti-mouse CD4 antibody (anti L3T4, GibcoBRL, 1:100 dilution in 2% goat serum), followed by a 30 minutes incubation with 5 mg of goat anti-rat immunoglobulin G (IgG)-fluorescein or rat-anti mouse CD8a-fluorescein isothiocyanate (anti-Ly-2, GibcoBRL,  
25 1:100 dilution in 2% goat serum). For MHC class I staining, sections were incubated with 1:50 diluted mouse hybridoma supernatant to H-2K<sup>b</sup>D<sup>b</sup> (20-8-4S) for 60 minutes, followed by a 30 minutes incubation with 5 mg/ml goat anti-mouse IgG-conjugated fluorescein isothiocyanate  
30 (FITC). Sections were washed twice and mounted with the antifadent Citifluor (Canterbury Chemical Lab., Canterbury, UK).

**D. CTL Assay**

For CTL assays, splenocytes from three mice or lymphocytes from 10 mice were pooled. Cells were restimulated *in vitro* for 5 days with H5.010CMVlacZ (MOI 0.5) and assayed on MHC-compatible target cells, which were previously infected with H5.010CMVlacZ and loaded with  $^{51}\text{Cr}$ , using different effector/target cell ratios. The percentage of specific  $^{51}\text{Cr}$  release was calculated as  $[(\text{cpm of sample} - \text{cpm of spontaneous release}) / (\text{cpm of maximum release} - \text{cpm of spontaneous release})] \times 100$ . Spontaneous release was determined by assaying target cells without effector cells in medium, while maximum release was estimated by adding 5% SDS to the target cells during the 6 hours incubation time.

**E. Cytokine Release Assay**

$6 \times 10^6$  splenocytes were cultured with or without antigen (i.e., UV-inactivated H5.010CMVlacZ at a MOI of 10) for 24 h in a 24 well plate. 100  $\mu\text{l}$  of cell-free supernatant were transferred onto  $2 \times 10^3$  HT-2 cells (IL-2 and IL-4 dependent cell line) in round bottom 96 well plates. Medium and 10% rat concanavalin A supernatant were used as negative and positive controls. Proliferation was determined 48 h later by a 6 h [ $^3\text{H}$ ]thymidine (0.35 mCi/well) pulse.

**F. Neutralizing Antibody Assay**

Serum and BAL were incubated for 30 min at  $56^\circ\text{C}$  to inactivate complement. Serial dilutions of serum and BAL in DMEM without FBS (50  $\mu\text{l}$ , starting at 1:20) were incubated with  $1 \times 10^6$  pfu of H5.010CMVlacZ for 60 min and applied onto  $2 \times 10^4$  Hela cells (80% confluent) in 96 well plates. After a 60 minutes incubation, 100  $\mu\text{l}$  of DMEM containing 20% FBS were added. The cells were fixed 16-18 hours later and stained for  $\beta$ -galactosidase activity. All of the cells stained blue when medium was

added instead of serum or BAL. The neutralizing antibody titer was determined by the highest dilution with which less than 50% of cells stained blue.

5 All articles identified herein are incorporated by reference. Numerous modifications and variations of the present invention are included in the above-identified specification and are expected to be obvious to one of skill in the art. Such modifications and alterations including the specific immune modulator  
10 selected, the manner of administration, the recombinant vector and transgene selected, route of administration, etc. are believed to be encompassed in the scope of the claims appended hereto.

## WHAT IS CLAIMED IS:

1. Use of an immune modulator for the manufacture of a medicament for reducing the immune response to a recombinant virus containing a therapeutic transgene in patients treated with said virus, administration of an effective amount of the medicament permitting repeated administration of said virus.

2. The use according to claim 1, wherein said immune modulator comprises an agent selected from the group consisting of:

- a) a cytokine;
- b) an agent capable of depleting or inhibiting CD4+ cells;
- c) an anti-T cell antibody;
- d) an agent capable of blocking the interaction between CD40 ligand on a T cell and CD40 on a B cell;
- e) an agent capable of blocking the interaction between the CD28 or CTLA4 ligand on a T cell and B7 on a B cell; and
- f) cyclophosphamide.

3. The use according to claim 2a, wherein said cytokine inhibits a  $T_{H1}$ -mediated neutralizing antibody or a  $T_{H2}$ -mediated neutralizing antibody

4. The use according to claim 3, wherein the cytokine is selected from the group consisting of interleukin-4, interleukin-12 and gamma-interferon.

5. The use according to claim 2b, wherein said agent comprises an anti-CD4 antibody.

6. The use according to claim 2d, wherein said agent binds to the CD40 ligand on the T cell.

7. The use according to claim 6, wherein said agent is selected from the group consisting of a soluble CD40 molecule and an anti-CD40 ligand antibody.

8. The use according to claim 2e, wherein said agent binds to the CD28 or CTLA4 ligand on the T cell.

9. The use according to claim 8, wherein said agent is selected from the group consisting of a soluble CD28, a soluble CTLA4, an anti-CD28 antibody and an anti-CTLA4 antibody.

10. The use according to any one of claims 1-9, wherein said immune modulator comprises a DNA molecule.

11. The use according to any one of claims 1-9, wherein said immune modulator comprises a protein.

12. The use according to claim 1, wherein said recombinant virus is a recombinant adenovirus.

13. The use according to any of claims 1-11, characterized in that the medicament is administered simultaneously with said recombinant virus.

14. The use according to claim 13, wherein the medicament further comprises said recombinant virus.

15. The use according to any of claims 1-11, characterized in that the medicament is administered prior to administration of said recombinant virus.

16. The use according to any of claims 1-11, characterized in that the medicament is administered subsequent to administration of said recombinant virus.

17. A pharmaceutical composition comprising an immune modulator and a recombinant virus containing a therapeutic transgene.

18. The pharmaceutical composition according to claim 17, wherein said immune modulator comprises an agent selected from the group consisting of:

- a) a cytokine;
- b) an agent capable of depleting or inhibiting CD4+ cells;
- c) an anti-T cell antibody;
- d) an agent capable of blocking the interaction between CD40 ligand on a T cell and CD40 on a B cell;
- e) an agent capable of blocking the interaction between the CD28 or CTLA4 ligand on a T cell and B7 on a B cell; and
- f) cyclophosphamide.

19. The composition according to claim 18a, wherein said cytokine inhibits a  $T_{H1}$ -mediated neutralizing antibody or a  $T_{H2}$ -mediated neutralizing antibody

20. The composition according to claim 19, wherein the cytokine is selected from the group consisting of interleukin-4, interleukin-12 and gamma-interferon.

21. The composition according to claim 18b, wherein said agent comprises an anti-CD4 antibody.



22. The composition according to claim 18d, wherein said agent binds to the CD40 ligand on the T cell.

23. The composition according to claim 22 wherein said agent is selected from the group consisting of a soluble CD40 molecule and an anti-CD40 ligand antibody.

24. The composition according to claim 18e, wherein said agent binds to the CD28 or CTLA4 ligand on the T cell.

25. The composition according to claim 24, wherein said agent is selected from the group consisting of a soluble CD28, a soluble CTLA4, an anti-CD28 antibody and an anti-CTLA4 antibody.

26. The composition according to any one of claims 17-25, wherein said immune modulator comprises a DNA molecule.

27. The composition according to any one of claims 17-25, wherein said immune modulator comprises a protein.

28. The composition according to claim 18, wherein said recombinant virus is a recombinant adenovirus.

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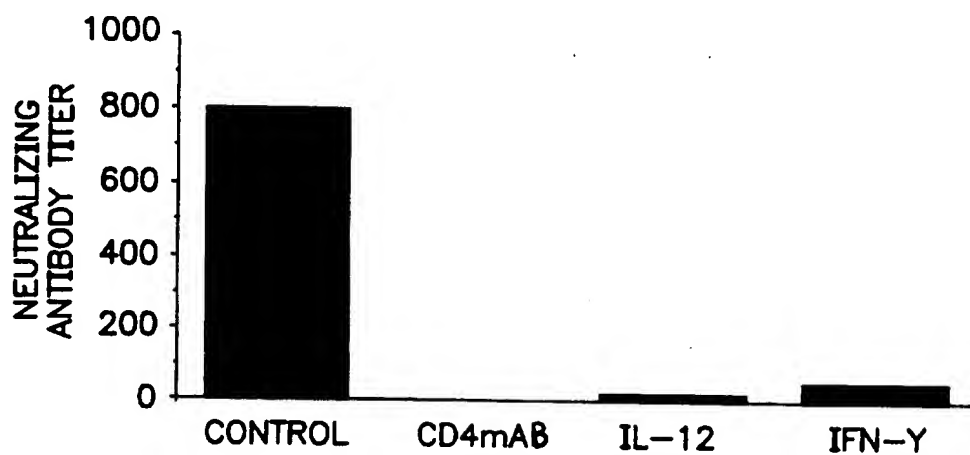


FIG. 1A

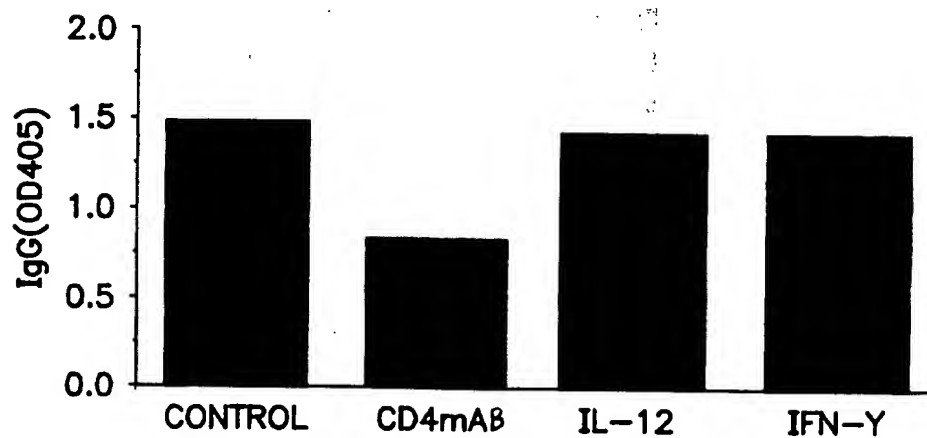


FIG. 1B

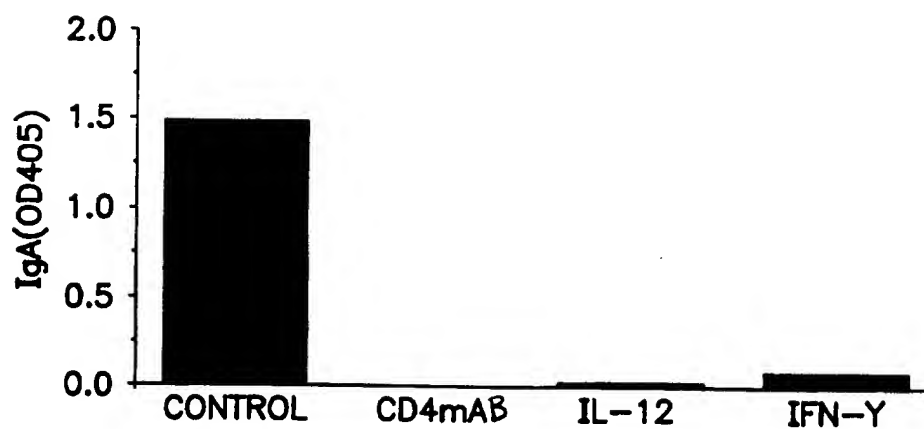


FIG. 1C

2 / 7

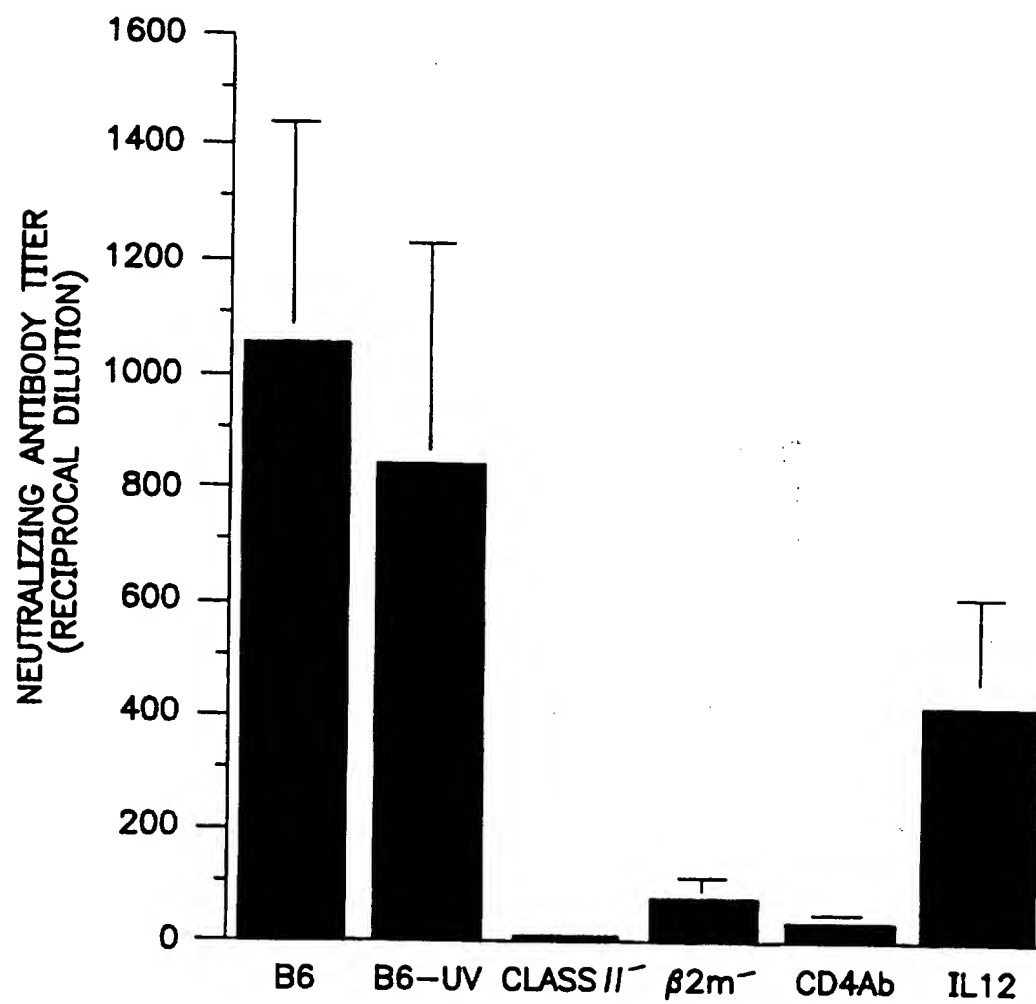


FIG. 2

FIG. 3A

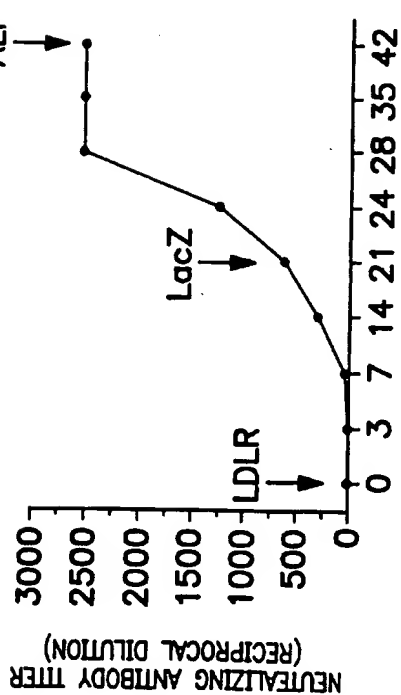


FIG. 3B

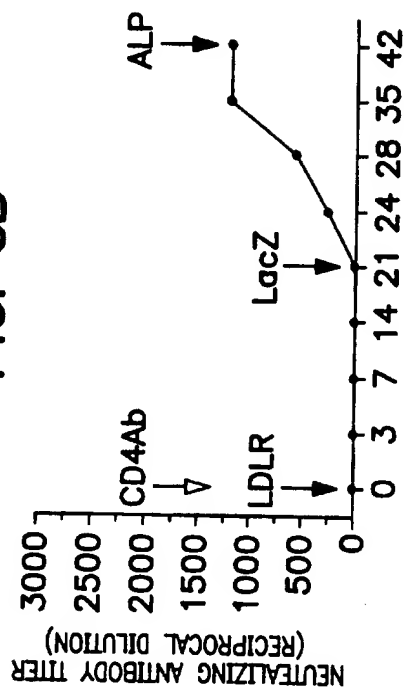


FIG. 3C

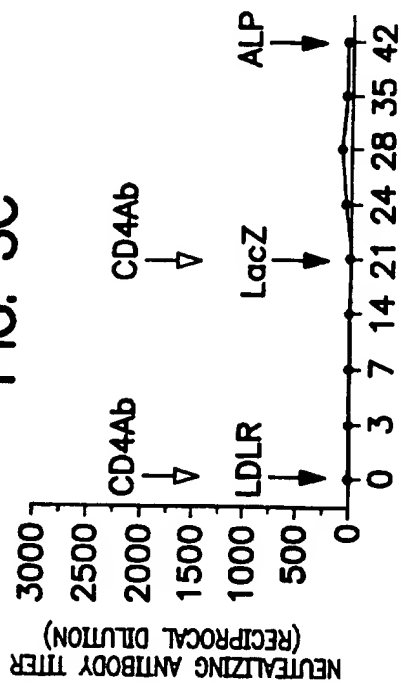
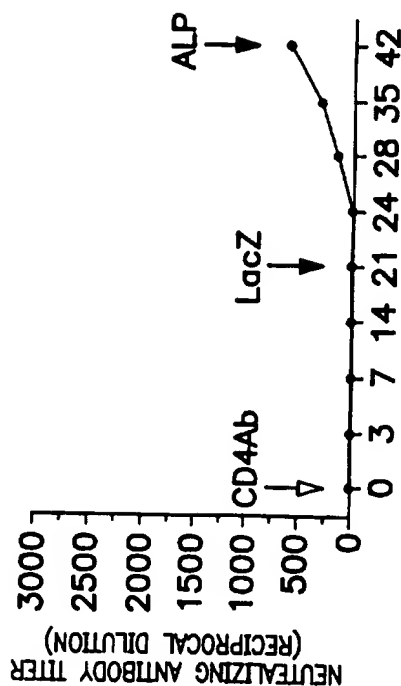


FIG. 3D



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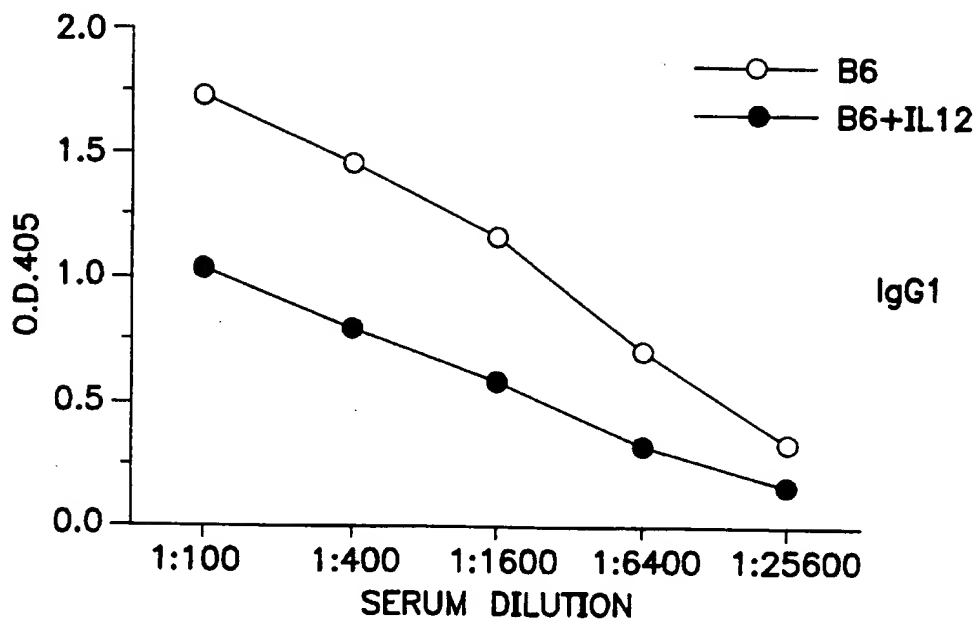


FIG. 4A

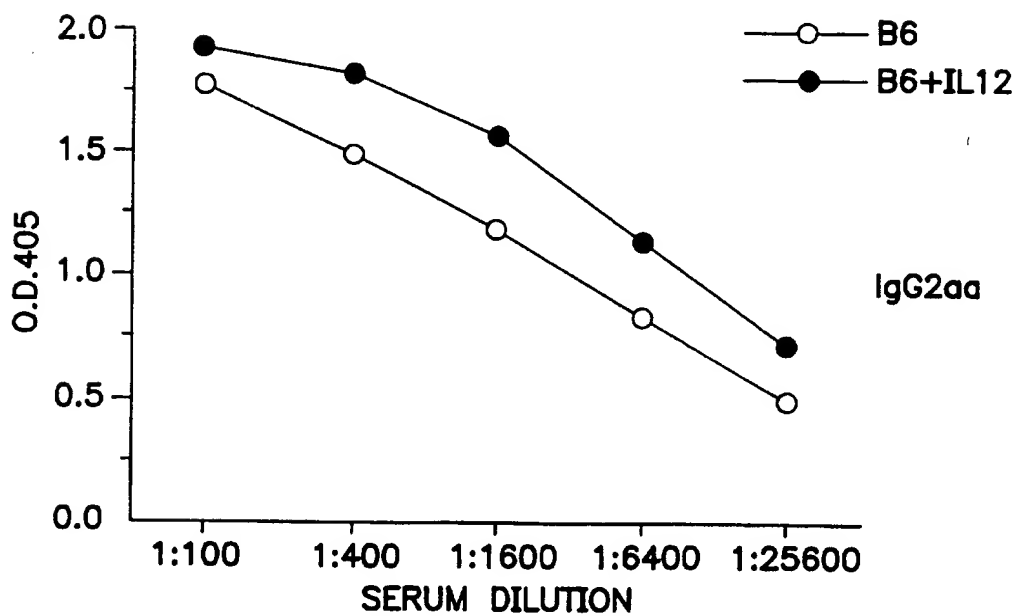


FIG. 4B

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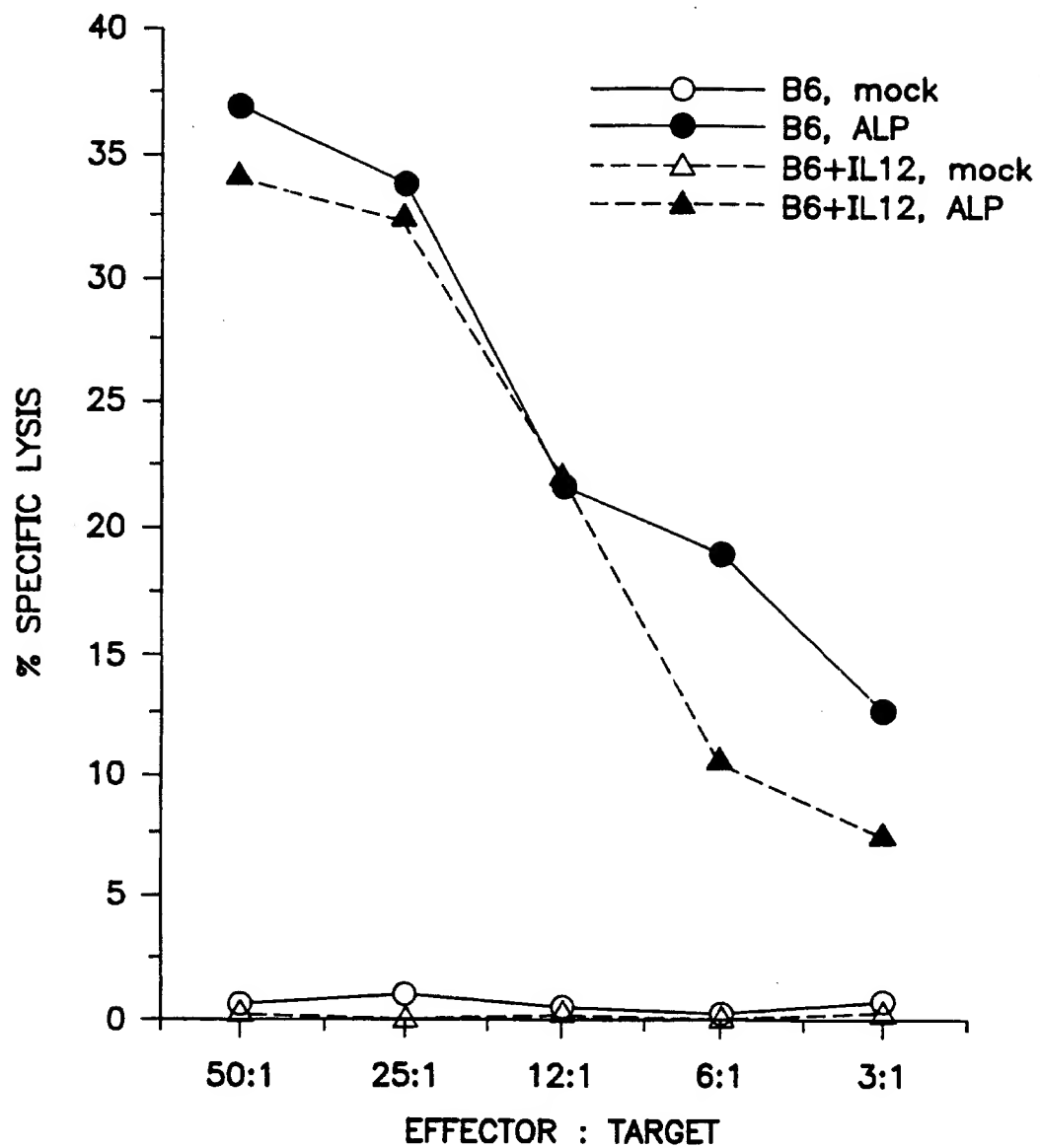


FIG. 5

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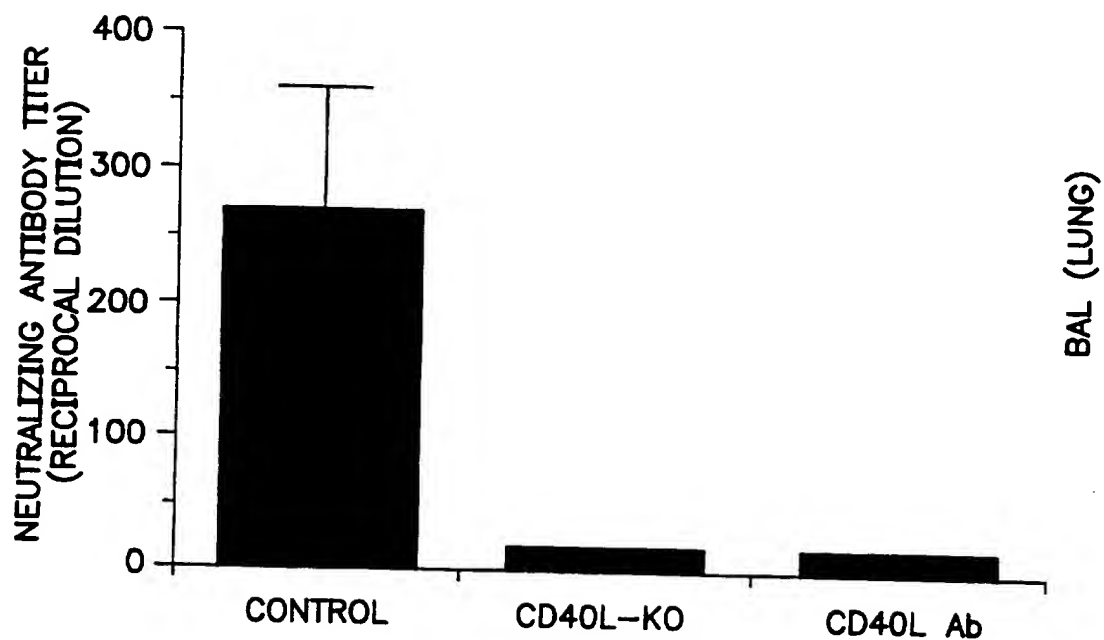


FIG. 6A

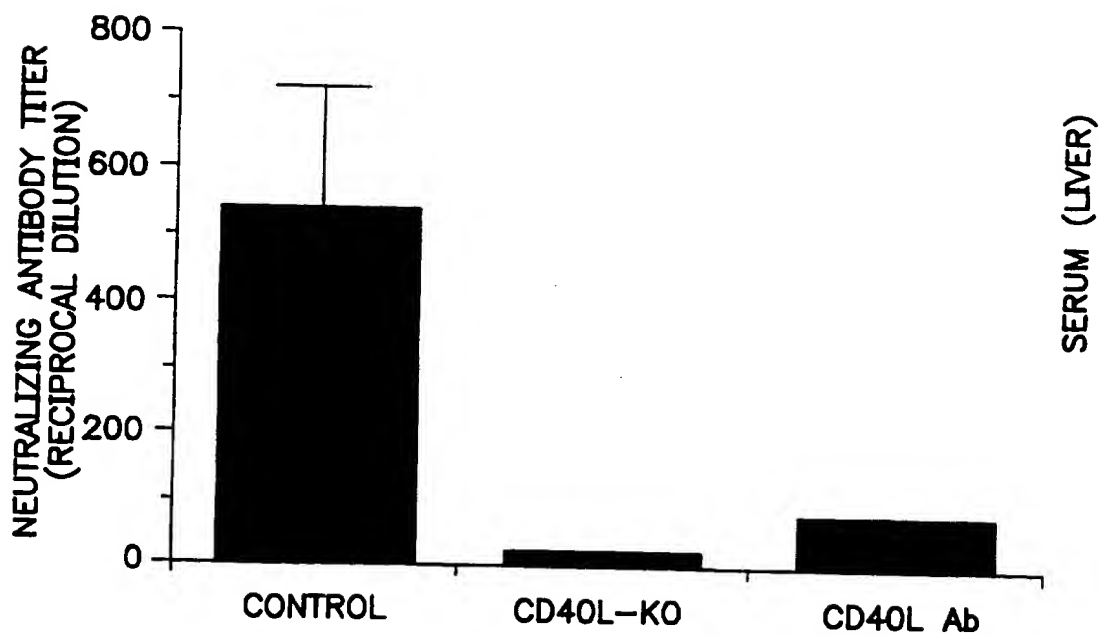
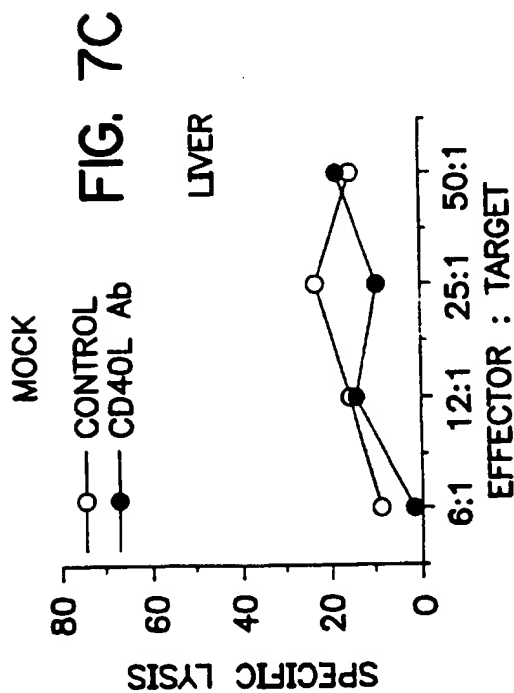
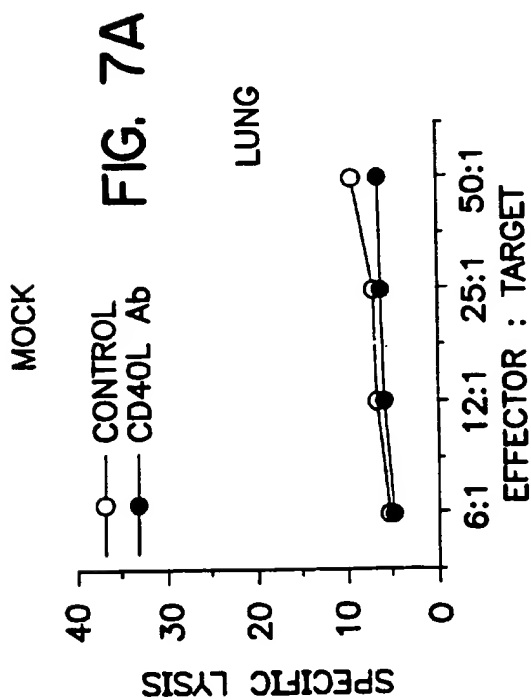
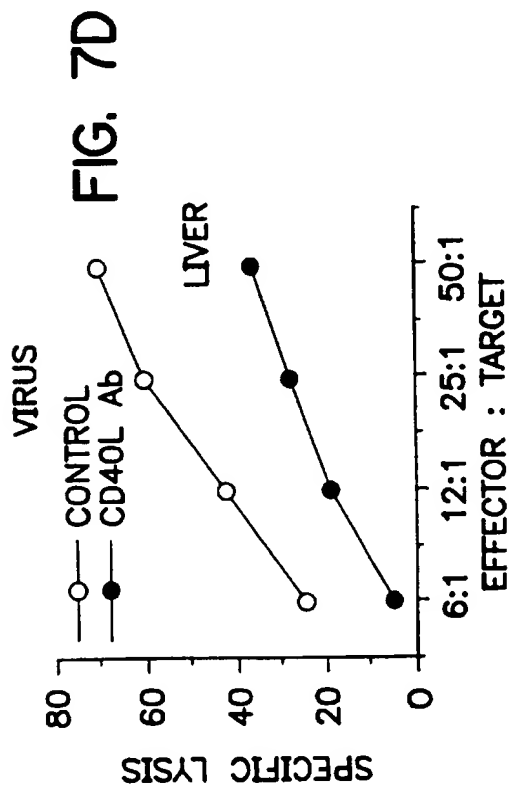
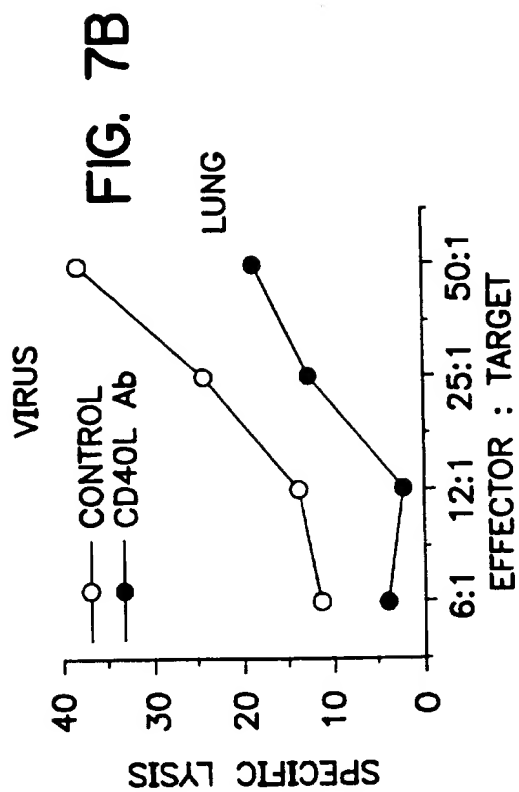


FIG. 6B

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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C12N 15/86, A61K 48/00, 38/20, 38/21, 39/395, 35/76, 31/675</b>		<b>A3</b>	<b>(11) International Publication Number:</b> <b>WO 96/26285</b> <b>(43) International Publication Date:</b> 29 August 1996 (29.08.96)
<b>(21) International Application Number:</b> PCT/US96/03035 <b>(22) International Filing Date:</b> 23 February 1996 (23.02.96) <b>(30) Priority Data:</b> 08/394,032 24 February 1995 (24.02.95) US 08/585,397 11 January 1996 (11.01.96) US <b>(60) Parent Applications or Grants</b> <b>(63) Related by Continuation</b> US 08/394,032 (CIP) Filed on 24 February 1995 (24.02.95) US 08/585,397 (CIP) Filed on 11 January 1996 (11.01.96) <b>(71) Applicants (for all designated States except US):</b> THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA [US/US]; Suite 300, 3700 Market Street, Philadelphia, PA 19104-3147 (US). THE WISTAR INSTITUTE OF ANATOMY AND BIOLOGY [US/US]; 36th and Spruce Streets, Philadelphia, PA 19104-4268 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> WILSON, James, M. [US/US]; 1350 N. Avignon Drive, Gladwyne, PA 19035			<b>(US).</b> YANG, Yiping [CN/US]; 3817 Spruce Street, Philadelphia, PA 19104 (US). TRINCHIERI, Giorgio [IT/US]; 355 Wister Road, Wynnwood, PA 19096 (US). <b>(74) Agents:</b> BAK, Mary, E. et al.; Howson and Howson, Spring House Corporate Center, P.O. Box 457, Spring House, PA 19477 (US). <b>(81) Designated States:</b> AL, AM, AU, BB, BG, BR, CA, CN, CZ, EE, FI, GE, HU, IS, JP, KP, KR, LK, LR, LT, LV, MD, MG, MK, MN, MX, NO, NZ, PL, RO, SG, SI, SK, TR, TT, UA, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <b>(88) Date of publication of the international search report:</b> 3 October 1996 (03.10.96)
<b>(54) Title:</b> METHODS AND COMPOSITIONS FOR ADMINISTERING GENE THERAPY VECTORS			
<b>(57) Abstract</b>  A method of reducing immune response during gene therapy is provided which involves co-administration of the viral vector bearing a therapeutic transgene and a selected immune modulator capable of inhibiting the formation of neutralizing antibodies and/or CTL elimination of the vectors upon repeated administration.			

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# INTERNATIONAL SEARCH REPORT

Int. Patent Application No.  
PCT/US 96/03035

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12N15/86 A61K48/00 A61K38/20 A61K38/21 A61K39/395  
A61K35/76 A61K31/675

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12N A61K

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Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
T	GENE THERAPY, 3 (5). 1996. 412-420., XP000576295 YANG Y ET AL: "Transient immune blockage prevents formation of neutralizing antibody to recombinant adenovirus and allows repeated gene transfer to mouse liver" see the whole document ---	1-28
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Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>GENE THERAPY, vol. 2, June 1995, pages 256-262, XP000571413 LEE MG ET AL: "The constitutive expression of the immunomodulatory gp 19k protein in E1-, E3- adenoviral vectors strongly reduces the host cytotoxic T cell response against the vector" see the whole document ---</p>	1,12-14, 17
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A	<p>PROC NATL ACAD SCI U S A, MAY 10 1994, 91 (10) P4407-11, UNITED STATES, XP002009850 YANG Y ET AL: "Cellular immunity to viral antigens limits E1-deleted adenoviruses for gene therapy." see the whole document -----</p>	

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